## **EXHIBIT 13**

DONALD R. ANTONELLI
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WILLIAM I. SOLOMON\*
GREGORY E. MONTONE
RONALD J. SHORE
BOTH STOUT
AND E. STOUT
AND E. SCHIAVELLI
SAM. DRESSER
I. BRUNDIDGE\*
DAILE J. SKWIERAWSKI\*
ROSE J. M. BAUER

HANDALL S. SVIHLA HENCE H. BUI\* STURGON. STEVENS\* FREDERICK D. BAILEY DAVID C. OREN RALPH T. WEBB\*

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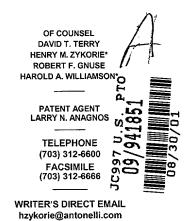
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**SUITE 1800** 

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August 30, 2001



Honorable Commissioner for Patents Washington, D.C. 20231

Attorney Docket Number: 017.40169X00 Customer Number: 020457

Sir:

Attached please find the application papers of **Tapani LARIKKA and Jari JUOPPERI**, covering new and useful improvements in a **MESSAGE TRANSFER FROM A SOURCE DEVICE VIA A MOBILE TERMINAL DEVICE TO A THIRD DEVICE** comprising:

Specification, (47) Claims, and Abstract of the Disclosure (19 pages)

(3) Sheets of Drawings Showing Figures 1-3

U.S. Government Filing Fee \$1396.00

Declaration and Power of Attorney (2 pgs)

Recordation of Assignment with Assignment Document - \$40.00

Request for Certification

Please charge any shortage in fees due in connection with the filing of this paper, to the Deposit Account of Antonelli, Terry, Stout & Kraus, LLP Account No. 01-2135 (017.40169X00) and please credit any overpayment of fees to such deposit account.

By:

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP

Henry M. Zykorie

Registration No. 27,477

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# REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)

		•
First Named Inventor	Tapani LARIKKA	
Title Message Tr Device Via Device to	ansfer From a Source a Mobile Terminal a Third Device	
Atty Docket Number	017 40169800	

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

August 30, 2001

Date

Henry M. Zykorie, Reg. No. 27,47
Typed or printed name

Signature

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application upon filing.

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If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).

Burden Hour Statement. This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO. Assistant Commissioner for Patents, Washington, DC 20231.

DONALD R. ANTONELLI
MELVIN KRAUS
WILLIAM I. SOLOMON\*
GREGORY E. MONTONE
RONALD J. SHORE
DIAD E. STOUT
GSCHIAVELLI

HANDALL S. SVIHLA HANDE HAUF SEMESON. STEVENS\* FREDERICK D. BAILEY DAVID C. OREN RALPH T. WEBB\*

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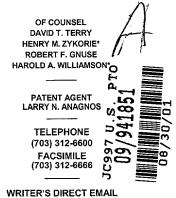
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August 30, 2001



WRITER'S DIRECT EMAIL hzykorie@antonelli.com

Honorable Commissioner for Patents Washington, D.C. 20231

Attorney Docket Number: 017.40169X00 Customer Number: 020457

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By:

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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Title: Message Transfer From a Source Device Via a Mobile Terminal Device to a Third Device

Inventors:

Tapani LARIKKA Jari JUOPPERI

Prepared by:
Antonelli, Terry, Stout & Kraus, LLP
Suite 1800
1900 North Seventeenth Street
Arlington, VA 22209

Phone: (703) 312-6600 Fax: (703) 312-6666 Title: Message Transfer From a Source Device Via a Mobile Terminal Device to a Third Device

#### **BACKGROUND OF THE INVENTION:**

#### Field of the Invention

[0001] The present invention relates to transferring messages between devices.

More particularly, the present invention relates to transferring SyncML (Synchronization Markup Language) messages from a source device via a mobile terminal device to a third device.

#### **Description of the Related Art**

pata synchronization between terminal devices is an issue in which each vendor has traditionally had their own proprietary solution. Each vendor developed their own synchronization protocol as well as internal data representations for each application. Due to the proprietary nature of such systems, the products of different vendors have been unable to communicate with each other without specially designed single purpose converters.

[0003] These non-interoperable solutions have complicated the tasks of users, manufacturers, service providers, and developers. Furthermore, the proliferation of different proprietary data synchronization protocols have placed barriers to the extended use of mobile terminals and has restricted data access and delivery and limited the mobility of the users.

[0004] In view of the above, several mobile terminal manufacturers joined together into an organization to create a new industry initiative to develop and promote a

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single, common, data synchronization protocol, namely, SyncML, that can be used everywhere, by every device or terminal and in every application.

[0005] As noted on the Web site of the above-noted organization, www.syncml.org, SyncML is a common language which has been developed for synchronizing devices and applications over any network. SyncML leverages XML (Extensible Markup Language), that is, a SyncML message is an XML document, and with SyncML, network information can be synchronized with any mobile device or terminal and mobile information can be synchronized with any networked application or applications. With SyncML, any personal information, such as e-mail, calendars, to-do lists, contact information and other relevant data, etc., will be consistent, accessible, and up-to-date, no matter where the information is stored. For example, a calendar entry made to a mobile device or terminal on a business trip is equally available to a secretary in the network calendar.

[0006] At present, the SyncML standard contains three different transport alternatives for sending SyncML messages. These alternatives are HTTP (Hyper-Text Transfer Protocol), WSP (Web System Protocol), and OBEX (Object Exchange Protocol).

[0007] While each of these alternatives are usable, they all have the same defect, namely, all of these alternatives require the use of a continuous data connection between the mobile device or terminal and the network.

Furthermore, there are personal portable server devices presently available which only have short range connection capabilities, such as IR (Infrared) or Bluetooth. Accordingly, at present, they are unable to synchronize data with remotely located terminals which are out of their limited communication range.

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#### Summary of the Invention

[0009] Modern-day mobile terminals, such as mobile telephones, have been provided with the SMS (Short Message Service) capability. SMS allows a single short message of up to one hundred sixty characters of text in length to be sent from a sender to a recipient. However, rather than requiring a continuous data connection, the SMS is a store and forward service, that is, short messages are not sent directly from sender to recipient but rather are sent via an intermediary SMS center instead. Each mobile telephone network that supports SMS, for example, has one or more SMS messaging centers to handle and manage SMS messages. Many such modern-day mobile terminals include IR or Bluetooth capability which allows them to communicate with the aforementioned personal portable servers.

[0010] Accordingly, it is an object of the present invention to utilize the short range communication capability capability of a mobile device or terminal device as a transport mechanism to forward SyncML messages from a source device or terminal device to another terminal device.

#### **Brief Description of the Drawings**

The foregoing and a better understanding of the present invention will become apparent from the following detailed description of example embodiments and the claims when read in connection with the accompanying drawings, all forming a part of the disclosure of this invention. While the foregoing and following written and illustrated disclosure focuses on disclosing example embodiments of the invention, it should be clearly understood that the same as by way of illustration and example only and that the invention is not limited thereto. The spirit and scope of the present invention are limited only by the terms of the appended claims.

[0012] The following represents brief descriptions of the drawings, wherein:

[0013] Figure 1 is a block diagram illustrating an example of a system to which the present invention may be applied.

[0014] Figure 2 illustrates a SyncML message being inserted into an SMS message in accordance with an example embodiment of the present invention.

[0015] Figure 3 illustrates a SyncML message being split up and inserted into a plurality of SMS messages in accordance with an example embodiment of the present invention.

#### **Detailed Description**

[0016] Before beginning a detailed description of the subject invention, mention of the following is in order. When appropriate, like reference numerals and characters may be used to designate identical, corresponding, or similar components in differing drawing figures. Furthermore, in the detailed description to follow, example sizes/model/values/ranges may be given, although the present invention is not limited thereto. Where specific details are set forth in order to describe example embodiments of the invention, it should be apparent to one skilled in the art that the invention can be practiced without, or with variations of, these specific details. Finally, it should be apparent that differing combinations of hard-wired circuitry and software instructions can be used to implement embodiments of the present invention, that is, the present invention is not limited to any specific combination of hardware and software.

[0017] Figure 1 is a block diagram illustrating an example of a system to which the present invention can be applied. As illustrated in Figure 1, a mobile terminal 100, such as a cell phone, is connected to a mobile network 120 such that data in various

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formats, e.g.-SMS, GPRS, WAP, may be transmitted therebetween. The mobile network 120 is connected to a gateway 130 which is in turn connected via the Internet 140 to a remote server 150. Accordingly, the mobile terminal 100 may communicate with the remote server 150 bidirectionally via the mobile network 120, gateway 130, and Internet 140. A personal server 160, for example, is connected to the mobile terminal 100 via a short range connection such as Bluetooth or IR.

Thus, the mobile terminal 100 in effect operates as a modem for the personal server 160 so so as to allow the personal server 160 to forward messages, such as data to be synchronized, to the remote server 150 via the mobile network 120 and gateway 130 and Internet 140.

[0019] As mentioned previously, the widespread use of mobile terminal devices has increased demand for a technique for synchronizing such mobile terminal devices with both other mobile terminal devices and fixed terminal devices. For example, a salesman who is away from his office may wish to update his calendar and business contacts address book on his mobile terminal device and to then update, that is, synchronize, his calendar and business contacts address book on his office computer. He may also wish to synchronize his calendar on his office network so that his secretary and his supervisor can be aware of his schedule.

provided with SMS capability. SMS allows a sender to send a short message to a recipient via an SMS center. To use the SMS service a user needs a subscription to a mobile telephone network that supports SMS. In addition, the use of SMS must been enabled for that user, for example, automatic access to the SMS is provided by some mobile network operators while others charge a monthly subscription to use the service. The user would require a mobile terminal that supports SMS and a knowledge of how to

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send or receive an SMS message on that mobile terminal. Lastly, the user must know a destination to send the SMS message to or to receive the SMS message from. The destination may be another mobile terminal or a PC or an Internet address.

As noted above, the SyncML standard presently contains three different [0021] transport alternatives for sending SyncML messages, namely, HTTP, WSP, and OBEX. All these alternatives require the use of a continuous data connection between the mobile terminal and the telephone network.

On the other hand, in the present invention, it is proposed that a fourth [0022] transport alternative to be provided for sending SyncML messages namely, the SMS network. As shown in Figure 2, it is proposed that the SyncML message of a user be inserted into an SMS message and sent to a recipient via the SMS network. Since modern-day mobile terminals already include SMS capability and since SMS centers are already in place and operating, no new technology or equipment is needed to send SyncML messages via the SMS network.

Furthermore, since SMS is a store and forward service, short messages [0023] are not sent directly from the sender to the recipient via a continuous data connection but rather are sent via an SMS center instead. This increases the reliability and reduces the operating costs.

The only disadvantage of using SMS is that a single SyncML message is [0024] limited to one hundred and sixty characters. However, the use of WBXML (Wireless Application Protocol Binary Extensible Markup Language) encoding allows for a compact binary representation of XML (Extensible Markup Language), allowing more effective use of XML data on narrowband communication channels, thereby allowing a SyncML message to possibly be reduced in size so as to fit within the size limitation of

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the SMS message. Furthermore, other data compression techniques could also be used to reduce the size of a SyncML message.

Furthermore, should it be impossible to limit the SyncML message to one hundred and sixty characters, as illustrated in Figure 3, it is possible to split a SyncML message into two or more portions and transmit the SyncML message using two or more SMS messages. It is noted that SMS standards provide for sending multiple short messages which are to be combined by the recipient.

[0026] It is also to be noted that the SyncML standard includes two different mandatory MIME (Multipurpose Internet Mail Extensions) types, namely, vcal, a format for synchronizing calendars and vcard, a format for synchronizing business cards (that is, a business contact address book). This allows for further standardization between terminals of different manufacturers. Other MIME types are presently not supported nor requested, thus basically reducing the use of SyncML for only these two MIME types. More information about MIME can be found in RFC2045-RFC2049. These RFCs may be found on the Web site: <a href="https://www.nacs.uci.edu/indiv/ehood/MIME/MIME.html">www.nacs.uci.edu/indiv/ehood/MIME/MIME.html</a>, among others.

[0027] As noted above, provision is made for sending SyncML messages in excess of the one hundred and sixty character limitation of single SMS messages. This may be automatically provided for by the SMS programming in the mobile terminal.

Alternatively, the SyncML message may be transmitted by one of the other three available transport alternatives, namely, HTTP, WSP, and OBEX, or any other available transport alternative.

This concludes the description of the example embodiments. Although the present invention has been described with reference to a number of illustrative embodiments thereof, should be understood that numerous other modifications and

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embodiments can be devised by those skilled in the art that will fall within the spirit and scope of the principles of this invention. More particularly, reasonable variations and modifications are possible in the component parts and/or arrangements of the subject combination arrangement within the scope of the foregoing disclosure, the drawings, and the appended claims without departing from the spirit of the invention. In addition to variations and modifications in the component parts and/or arrangements, alternative uses will also be apparent to those skilled in the art.

[0029] Furthermore, the specific details of the SMS protocol, SyncML protocol, WBXML, and MIME protocol have not been included in the present specification for the sake of brevity. It is understood that these industrywide protocols are readily available and the details thereof are incorporated by reference herein in their entirety.

What is claimed is:

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1. A method of transferring a data message between a first terminal device and a second terminal device via an intermediate terminal device, the method comprising:

entering a data message into the first terminal device;

transmitting the data message from the first terminal device to the intermediate terminal device;

formatting the data to be synchronized into at least one SMS (Short

Message Service) message in the intermediate terminal device; and

transmitting the at least one SMS message from the intermediate terminal device to the second terminal device.

- The method of claim 1, wherein formatting the data message comprises formatting the data in a SyncML format.
- 3. The method of claim 1, wherein the intermediate terminal device comprises a mobile terminal device.
- 4. The method of claim 3, wherein the at least one SMS message is transmitted via a mobile network including an SMS message center.
- 5. The method of claim 4, wherein the at least one SMS message is transmitted from the intermediate terminal device to the second terminal device via the mobile network and a gateway and the Internet.

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- 6. The method of claim 1, wherein the at least one SMS message comprises a compressed SMS message.
- 7. The method of claim 6, wherein the compressed SMS message comprises a WBXML (Wireless Application Protocol Binary Extensible Markup Language) encoded message.
- 8. The method of claim 2, wherein the data formatted in a SyncML format comprises one of two MIME (Multipurpose Internet Mail Extensions) formats.
- 9. The method of claim 8, wherein the two MIME formats comprise vcal and vcard formats.
- 10. The method of claim 1, wherein the data message comprises one of a calendar, a to-do list, personal information, and contact information.
- 11. The method of claim 1, wherein the data message is transferred from the first terminal device to the intermediate terminal device via a short range communication link.
- 12. The method of claim 11, wherein the short range communication link comprises one of an IR (Infrared) or Bluetooth communication link.

13. A mobile terminal device comprising:

a data message receiver to receive a data message from a first terminal device via a short range communication link;

a formatter to format the received data message into at least one SMS (Short Message Service) message; and

a transmitter to transmit the at least one SMS message to another terminal device.

- 14. The device of claim 13, wherein the formatter formats the data in a SyncML format.
- 15. The device of claim 13, wherein the at least one SMS message formatted by the formatter comprises a compressed SMS message.
- 16. The device of claim 15, wherein the compressed SMS message formatted by the formatter comprises a WBXML (Wireless Application Protocol Binary Extensible Markup Language) encoded message.
- 17. The device of claim 13, wherein the data formatted by the formatter in a SyncML format comprises one of two MIME (Multipurpose Internet Mail Extensions) formats.
- 18. The device of claim 17, wherein the two MIME formats formatted by the formatter comprise vcal and vcard.

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- 19. The device of claim 13, wherein the data comprises one of a calendar, a to-do list, personal information, and contact information.
- 20. The device of claim 13, wherein the data receiver receives data messages via one of an IR (Infrared) or Bluetooth communication link.
- 21. A program storage device, readable by machine, tangibly embodying a program of instructions executable by machine to perform a method of transferring a data message between a first terminal device and a second terminal device via an intermediate terminal device, the method comprising:

entering a data message into the first terminal device;

transmitting the data message from the first terminal device to the intermediate terminal device;

formatting the data to be synchronized into at least one SMS (Short Message Service) message in the intermediate terminal device; and

transmitting the at least one SMS message from the intermediate terminal device to the second terminal device.

- 22. The device of claim 21, wherein formatting the data message comprises formatting the data in a SyncML format.
- 23. The device of claim 21, wherein the intermediate terminal device comprises a mobile terminal device.

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- The device of claim 23, wherein the at least one SMS message is 24. transmitted via a mobile network including an SMS message center.
- The device of claim 24, wherein the at least one SMS message is 25. transmitted from the intermediate terminal device to the second terminal device via the mobile network and a gateway and the Internet.
- The device of claim 21, wherein the at least one SMS message comprises 26. a compressed SMS message.
- The device of claim 26, wherein the compressed SMS message comprises 27. a WBXML (Wireless Application Protocol Binary Extensible Markup Language) encoded message.
- The device of claim 22, wherein the data formatted in a SyncML format 28. comprises one of two MIME (Multipurpose Internet Mail Extensions) formats.
- The device of claim 28, wherein the two MIME formats comprise vcal and 29. vcard formats.
- 30. The device of claim 21, wherein the data message comprises one of a calendar, a to-do list, personal information, and contact information.

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- 31. The device of claim 21, wherein the data message is transferred from the first terminal device to the intermediate terminal device via a short range communication link.
- 32. The device of claim 31, wherein the short range communication link comprises one of an IR (Infrared) or Bluetooth communication link.
- 33. A method of transferring data between a first terminal device and a second terminal device via an intermediate terminal device, the method comprising:

entering data into the first terminal device;

transmitting the data from the first terminal device to the intermediate terminal device;

formatting the data into at least one message in the intermediate terminal device;

transmitting the least one message from the intermediate terminal device to a message center; and

transmitting the at least one message from the message center to the second terminal device.

- 34. The method of claim 33, wherein formatting the data into at least one message comprises formatting the data into at least one SMS (Short Message Service) message.
- 35. The method of claim 34, wherein the message center comprises an SMS message center.

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- 36. The method of claim 33, wherein the data is transmitted from the first terminal device to the intermediate terminal device via a short range communication link.
- 37. The method of claim 34, wherein the short range communication link comprises one of either an IR (Infrared) or Bluetooth communication link.
- 38. A program storage device, readable by machine, tangibly embodying a program of instructions executable by machine to perform a method of transferring data between first and second terminal devices via an intermediate terminal device, the method comprising:

entering data into the first terminal device;

transmitting the data from the first terminal device to the intermediate terminal device;

formatting the data into at least one message in the intermediate terminal device;

transmitting the least one message from the intermediate terminal device to a message center; and

transmitting the at least one message from the message center to the second terminal device.

39. The device of claim 38, wherein formatting the data into at least one message comprises formatting the data into at least one SMS (Short Message Service) message.

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- 40. The device of claim 38, wherein the message center comprises an SMS message center.
- 41. The device of claim 38, wherein the data is transmitted from the first terminal device to the intermediate terminal device via a short range communication link.
- 42. The device of claim 39, wherein the short range communication link comprises one of either an IR (Infrared) or Bluetooth communication link.
- 43. The method of claim 1, further comprising transmitting at least one other SMS message from the second terminal device to the first terminal device via the intermediate terminal device.
- 44. The device of claim 13, further comprising a receiver to receive at least one other SMS message from the another terminal device and a data message transmitter to transmit the at least one other SMS message to the first terminal device.
- 45. The device of claim 21, further comprising transmitting at least one other SMS message from the second terminal device to the first terminal device via the intermediate terminal device.
- 46. The method of claim 33, further comprising transmitting other data from the second terminal device to the first terminal device via the intermediate terminal device.

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47. The device of claim 38, further comprising transmitting other data from the second terminal device to the first terminal device via the intermediate terminal device.

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#### Abstract of the Disclosure

A technique for transferring a data message between a first terminal device and a second terminal device via an intermediate terminal device includes: entering a data message into the first terminal device; transmitting the data message from the first terminal device to the intermediate terminal device; formatting the data message into at least one SMS (Short Message Service) message in the intermediate terminal device; and transmitting the at least one SMS message from the intermediate terminal device to the second terminal device. Formatting the data message may include formatting the data in a SyncML format. The intermediate terminal device may include a mobile terminal and the data message may be transferred from the first terminal device to the intermediate terminal device via a short range communication link which may include an IR or Bluetooth communication link

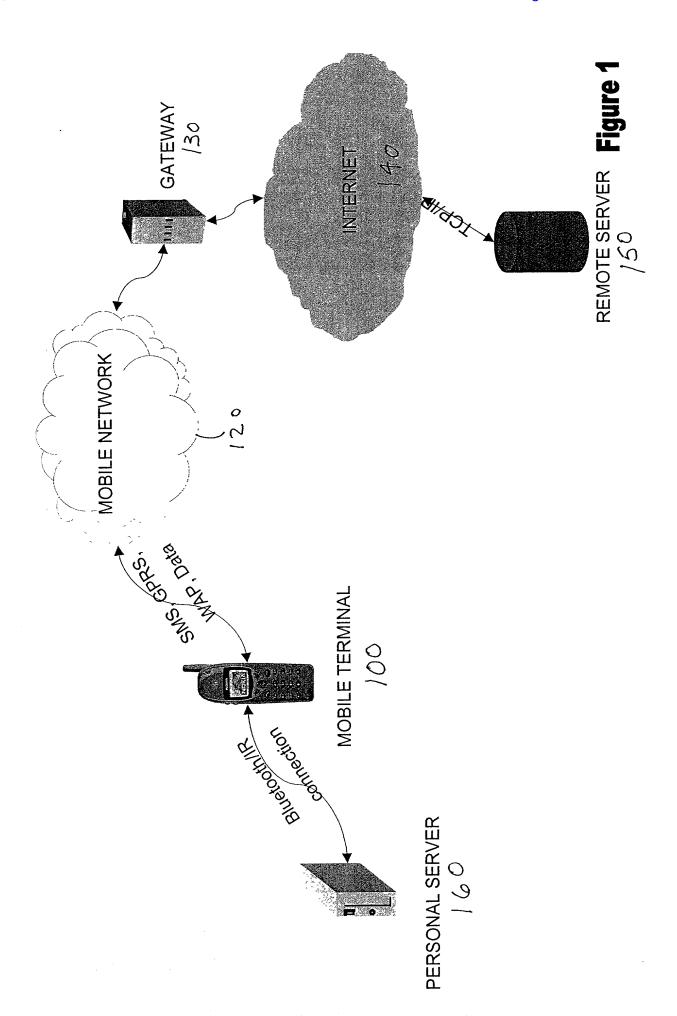
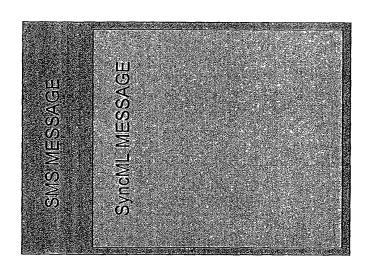
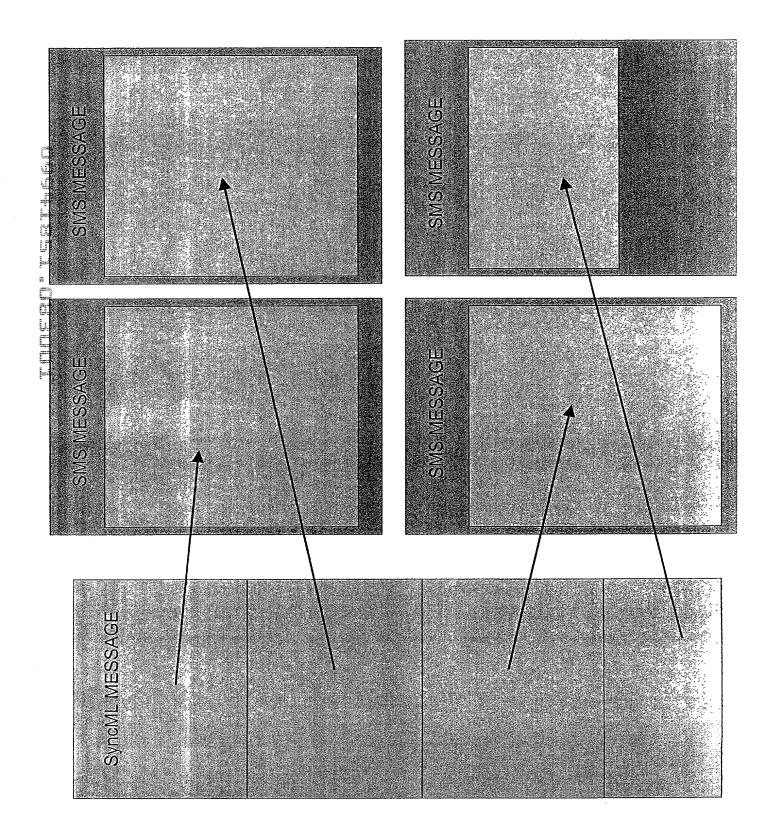


Figure 2



SyncML message is inserted into SMS message

Figure  $\beta$ 



the specification of which X

NC-19140 017.40169X00

## **DECLARATION AND POWER OF ATTORNEY - PATENT APPLICATION**

As a below named inventor, I hereby declare: that my citizenship, residence and post office address are as stated below; that I verily believe I am the original, first and sole inventor (if only one is named below) or a joint inventor (if plural inventors are named below) of the invention entitled:

#### MESSAGE TRANSFER FROM A SOURCE DEVICE VIA A MOBILE TERMINAL **DEVICE TO A THIRD DEVICE**

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		was filed on and w	as App /as amende	lication ed on 	1
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I here United State of the claims in the mann I acknowled of Federal F	eby claim the es application s of this application er provided by the duty to Regulations, § and the nation	(Day/Month/Year File benefit under Title 35, United (s) listed below and, insofar as cation is not disclosed in the py the first paragraph of Title 35 disclose material information (1.56(a) which occurred between all or PCT international filing of	d) States Cod the subjection United to, United States defined	Yes e, §120 et matte States ates Co in Title	o of any er of each application ode, §112, 37, Code

#### or abandoned.)

I hereby appoint as principal attorneys: Donald R. Antonelli, Reg. No. 20,296; David T. Terry, Reg. No. 20,178; Melvin Kraus, Reg. No. 22,466; William I. Solomon, Reg. No. 28,565; Gregory E. Montone, Reg. No. 28,141; Ronald J. Shore, Reg. No. 28,577; Donald E. Stout, Reg. No. 26,422; Alan E. Schiavelli, Reg. No. 32,087; James N. Dresser, Reg. No. 22,973, Carl I. Brundidge, Reg. No. 29,621; Paul J. Skwierawski, Reg. No. 32,173; and Robert M. Bauer, Reg. No. 34,487, to prosecute and transact all business in the Patent and Trademark Office connected with this application and any related United States and international applications.

Please Direct all Communications to:

Direct Telephone Calls To:

Antonelli, Terry, Stout & Kraus, LLP Suite 1800 1300 North Seventeenth Street Arlington, Virginia 22209 (703) 312-6600

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

(Full Name) (Signature)

Date <u>flug 6, 2001</u> Inventor <u>Tapani LARIKKA</u>

Residence <u>Vesilahti, Finland</u>
Citizenship Finnish

(Full Name)

Post Office Address Katajarinne 2, 37470 Vesilahti, FINLAND

(Signature)

Date <u>fing 6, 2001</u> Inventor <u>Jari JUOPPERI</u> Residence <u>Helsinki, Finland</u>

Residence <u>Helsinki, Finland</u> Citizenship: <u>Finnish</u>
Post Office Address <u>Bronintie 6 D 18, 02400 Kirkkonummi, FINLAND</u>

# 

### United States Patent & Trademark Office

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Application deficiencies found during scanning:

□ Page(s)	of	•	were not present
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are very dart.



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS United States Patent and Trademark Office WASHINGTON, D.C. 20231 www.uspto.gov



Bib Data Sheet

**CONFIRMATION NO. 7553** 

SERIAL NUMBER 09/941,851	TO TO TO THE TOTAL PROPERTIES AND THE TOTAL PR										
Jari Juopperi, F  ** CONTINUING DAT  ** FOREIGN APPLIC	, Vesilahti, FINLAND; Helsinki, FINLAND; FA ************************************	**** Ns (D	,	7							
Foreign Priority claimed  35 USC 119 (a-d) conditions met  Verified and  Acknowledged Ex	claimed yes no STATE OR SHEETS TOTAL IND										
ADDRESS Antonelli, Terry, Stout Suite 1800 1300 North Seventeer Arlington ,VA 22209						·					
TITLE  Message transfer from	n a source device via a r	mobile terminal device	to a third devic	e:e							
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## U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE FEE RECORD SHEET

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01 FC:101 710.00 OP 02 FC:103 486.00 OP 03 FC:102 80.00 OP

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DATENT	APPLICATION	FEE DETERMIN	IATION RECORD
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**Suite 1800** 

Arlington, VA 22209

#### United States Patent and Trademark Office

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

www.uspto.gov ATTORNEY DOCKET NUMBER

09/941.851

Antonelli, Terry, Stout & Kraus, LLP

1300 North Seventeenth Street

08/30/2001

Tapani Larikka

017.40169X00

**CONFIRMATION NO. 7553** 

**FORMALITIES LETTER** 

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OCOUOU

Date Mailed: 10/02/2001

#### NOTICE TO FILE CORRECTED APPLICATION PAPERS

#### Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice <u>MUST</u> be returned with the reply.

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Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY

Case 6 20 cv-00490-ADA Document 66-13 Filed 04/09/21 Page 36 of 208

017.40169X00

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants

Tapani LARIKKA, ET AL

Serial No.

09/941,851

Filed

August 30, 2001

For

MESSAGE TRANSFER FROM A SOURCE DEVICE VIA

A MOBILE TERMINAL DEVICE TO A THIRD DEVICE

#### **SUBMISSION OF FORMAL DRAWINGS**

Assistant Commissioner of Patents Washington, D.C. 20231

November 1, 2001

Sir:

Applicants, through their attorney, submit **three (3)** sheets of formal drawing illustrating Figs. 1-3 in connection with the above-identified application.

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP

By:\_

Robert F. Gnuse

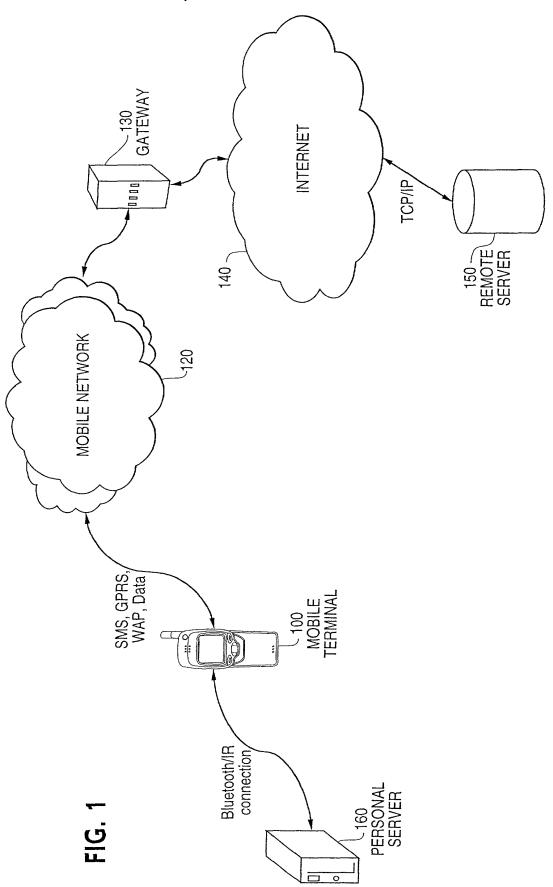
Registration No. 27,295

RFG:HMZ:dmw

Phone: (703) 312-6600 Fax: (703) 312-6666

E-mail hzykorie@antonelli.com

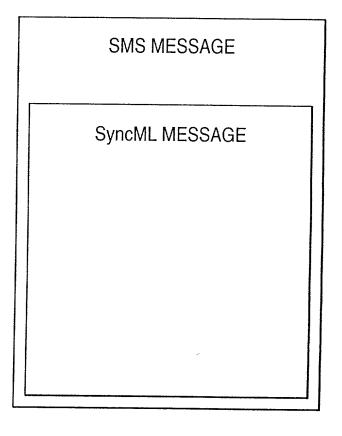
ANTONELLI, TERRY, STOUT & KRAUS, LLP 703-312-6600
U.S. Application No. 09/941,851 of T. LARIKKA, ET AL. filed August 30, 2001
Title: MESSAGE TRANSFER FROM A SOURCE DEVICE VIA A MOBILE
TERMINAL DEVICE TO A THIRD DEVICE
Attorney Docket No. 017.40169X00



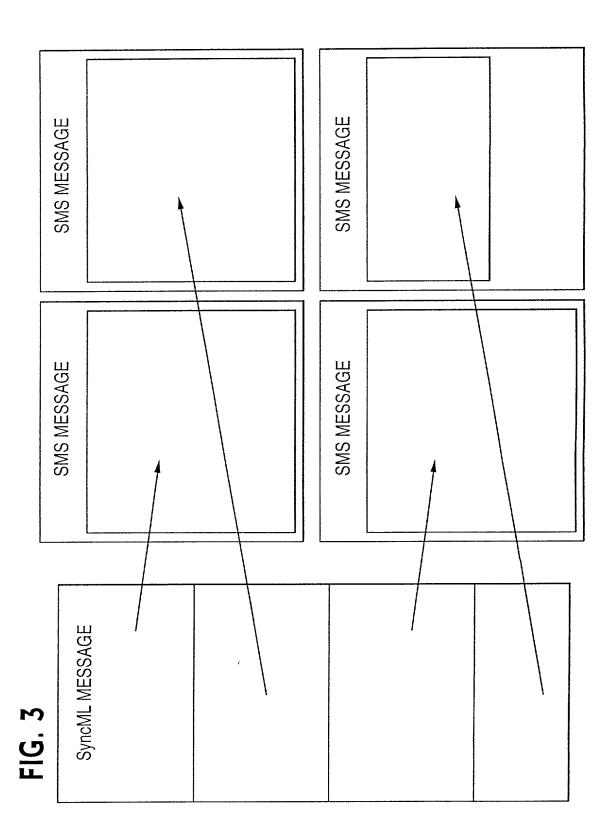
ANTONELLI, TERRY, STOUT & KRAUS, LLP 703-312-6600
U.S. Application No. 09/941,851 of T. LARIKKA, ET AL. filed August 30, 2001
Title: MESSAGE TRANSFER FROM A SOURCE DEVICE VIA A MOBILE
TERMINAL DEVICE TO A THIRD DEVICE
Attorney Docket No. 017.40169X00

# FIG. 2

SyncML message is inserted into SMS message



ANTONELLI, TERRY, STOUT & KRAUS, LLP 703-312-6600
U.S. Application No. 09/941,851 of T. LARIKKA, ET AL. filed August 30, 2001
Title: MESSAGE TRANSFER FROM A SOURCE DEVICE VIA A MOBILE
TERMINAL DEVICE TO A THIRD DEVICE
Attorney Docket No. 017.40169X00



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# PATENT APPLICATION FEE DETERMINATION RECORD

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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: T. LARIKKA, et al.

Serial No.: 09/941,851

Filed:

August 30, 2001

For:

MESSAGE TRANSFER FROM A SOURCE DEVICE VIA A

MOBILE TERMINAL DEVICE TO A THIRD DEVICE

Group:

2681

RECEIVED

AUG 1 9 2003 Technology Center 2600

# <u>INFORMATION DISCLOSURE STATEMENT</u> <u>UNDER 37 CFR 1.97 & 1.98</u>

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

August 18, 2003

Sir:

In the matter of the above-identified application, applicants are submitting herewith for the Examiner's consideration copies of documents cited in a communication from a foreign patent office in a counterpart foreign application. The documents are listed on the attached form equivalent to Form PTO-1449.

The documents listed in this Information Disclosure Statement were first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Each of the documents listed on the attached form equivalent to Form PTO-1449 is in the English language.

It is respectfully requested that this information disclosure statement be considered by the Examiner.

Please charge any shortage in the fees due in connection with the filing of this paper, including extension of time fees, to the deposit account of Antonelli, Terry, Stout & Kraus, LLP, Deposit Account No. 01-2135 (Case: 0171.40169X00), and please credit any excess fees to such deposit account.

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP

James N. Dresser

Registration No. 22,973

JND/kmh (703) 312-6600 Attachments

Form P	ТО-14	49   1		MENT OF COMMERCE TRADEMARK OFFICE	ATTY. DK' 0171.4016		SERIAL 09/941	
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# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/941,851	08/30/2001	Tapani Larikka	017.40169X00	7553
7	590 12/16/2004		EXAM	INER
Antonelli, Ter	rry, Stout & Kraus, LLP		CHOW,	MING
Suite 1800 1300 North Sev	venteenth Street		ART UNIT	PAPER NUMBER
Arlington, VA			2645	
			DATE MAIL ED: 12/16/200	4

Please find below and/or attached an Office communication concerning this application or proceeding.

	Case 6,20-cv-00490-ADA Docu	ment pp-13	Filed 04/09/21	Page 45 01 208	
		Application		Applicant(s)	
		09/941,851		LARIKKA ET AL.	
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2a) <u></u> □		nis action is non	-final.		
3)	Since this application is in condition for allow closed in accordance with the practice under				nerits is
Dispositi	on of Claims				
5) □ 6) ☑ 7) □ 8) □ <b>Applicati</b> 9) ☑	Claim(s) 1-47 is/are pending in the application 4a) Of the above claim(s) is/are withdred claim(s) is/are allowed.  Claim(s) 1-47 is/are rejected.  Claim(s) is/are objected to.  Claim(s) are subject to restriction and con Papers  The specification is objected to by the Examination Claim(s) filed on is/are: a) and and con Papers	rawn from cons  /or election req ner.	uirement.	≅xaminer.	
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12)□ / a)[	Acknowledgment is made of a claim for foreign All b) Some * c) None of:  1. Certified copies of the priority documents.  2. Certified copies of the priority documents.  3. Copies of the certified copies of the prince application from the International Burestee the attached detailed Office action for a list	nts have been r nts have been r iority document au (PCT Rule 1	received. received in Applications s have been receive 7.2(a)).	on No d in this National St	age
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#### Specification

1. The disclosure is objected to because it contains an embedded hyperlink and/or other form of browser-executable code on section [0005] page 2. Applicant is required to delete the embedded hyperlink and/or other form of browser-executable code. See MPEP § 608.01.

#### **Drawings**

2. The drawings are objected to because proper legends were missing, for example (not intend to be a complete listing), arrows on Fig. 3. A proposed drawing correction or corrected drawings are required in reply to the Office Action to avoid abandonment of the application. The objection to the drawings will not be held in abeyance.

# Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

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3. Claims 1, 3, 13, 21, 23, 33, 34, 38, 39, 11, 12, 20, 31, 32, 36, 37, 41, 42, 43-47 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kobayashi (US: 6633759), and in view of Jokimies (US: 5353328).

Regarding claims 1, 3, 13, 21, 23, 33, 34, 38, 39, Kobayashi teaches on column 13 line 62 to column 14 line 6 message data is entered in the PC (claimed "first terminal device") and send the message data to the cellular phone (claimed "intermediate terminal device").

Kobayashi failed to teach "formatting the data to be synchronized into at least one SMS message in the intermediate terminal device". However, Jokimies teaches on Abstract – an adapter for a mobile phone to convert received data into SMS for transmitting.

Kobayashi teaches on column 14 line 26-32 transmit the received data from the intermediate terminal to a third terminal (claimed "second terminal device").

It would have been obvious to one skilled at the time the invention was made to modify Kobayashi to have the formatting the data to be synchronized into at least one SMS message in the intermediate terminal device as taught by Jokimies such that the modified intermediate terminal of Kobayashi would be able to support the formatting data message into SMS to the system users.

Regarding claims 11, 12, 20, 31, 32, 36, 37, 41, 42, Kobayashi teaches on column 4 line 55-64 the PC (claimed "first terminal device") and the cellular phone (claimed "intermediate terminal") are connected via bluetooth interface (claimed "a short range communication link").

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Regarding claims 43-47, Kobayashi teaches on column 14 line 25-31 the data can be transmitted and received among multiple devices (reads on claimed "from the second terminal device to the first terminal device").

4. Claims 2, 14, 22 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kobayashi, and in view of Jokimies, Sutinen et al (US: 2002/0161769).

Kobayashi in view of Jokimies as stated in claim 1 above failed to teach "formatting the data message comprises formatting the data in a SyncML format". However, Sutinen et al teach on Fig. 3 and section [0005] – data in SyncML format for synchronization.

It would have been obvious to one skilled at the time the invention was made to modify Kobayashi, Jokimies to have the formatting the data message comprises formatting the data in a SyncML format as taught by Sutinen et al such that the modified system of Kobayashi, Jokimies would be able to support the SyncML format data to the system users.

5. Claims 4, 24, 35, 40 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kobayashi, and in view of Jokimies, Lohtia et al (US: 6560456).

Regarding claim 4, 24, 35, 40, 5, 25, Kobayashi in view of Jokimies as stated in claim 1 above failed to teach "SMS message center". However, Lohtia et al teach on item 16 Fig. 1 and column 12 line 20 a SMS message center.

It would have been obvious to one skilled at the time the invention was made to modify Kobayashi, Jokimies to have the SMS message center as taught by Lohtia et al such that the

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modified system of Kobayashi, Jokimies would be able to support the SMS message center to the

system users.

Regarding claims 5, 25, Kobayashi in view of Jokimies, Lohtia et al as stated in claim 4

above failed to teach "the at least one.....and the internet". However, Lohtia et al teach on item

304 Fig. 3 MSC (claimed "mobile network")., item 302 Fig. 3 WWIS Gateway, item 303 Fig. 3

Internet.

It would have been obvious to one skilled at the time the invention was made to modify

Kobayashi, Jokimies, Johtia et al to have the at least one....and the internet as taught by Lohtia

et al such that the modified system of Kobayashi, Jokimies, Lohtia et al would be able to support

the mobile network, gateway, and Internet for transmitting SMS messages to the system users.

6. Claims 6, 15, 26 are rejected under 35 U.S.C. 103(a) as being unpatentable over

Kobayashi, and in view of Jokimies, Alanara et al (US: 6097961).

Kobayashi in view of Jokimies as stated in claim 1 above failed to teach "the at

least.....SMS message". However, Alanara et al teach on column 15 line 24-25 a controller on

the mobile station to compress SMS messages.

It would have been obvious to one skilled at the time the invention was made to modify

Kobayashi, Jokimies to have the at least.....SMS message as taught by Alanara et al such that the

modified system of Kobayashi, Jokimies would be able to support the compressed SMS message

to the system users.

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7. Claims 7, 16, 27 are rejected under 35 U.S.C. 103(a) as being unpatentable over

Kobayashi, and in view of Jokimies, Alanara et al, Corneliussen et al (US: 2004/0048603).

Kobayashi in view of Jokimies, Alanara et al as stated in claim 6 above failed to teach "the compressed SMS.....encoded message". However, Corneliussen et al teach on section [0041] SMS server builds WBXML messages.

It would have been obvious to one skilled at the time the invention was made to modify Kobayashi, Jokimies, Alanara et al to have the "the compressed SMS....encoded message" as taught by Corneliussen et al such that the modified system of Kobayashi, Jokimies, Alanara et al would be able to support the WBXML encoded message to the system users.

8. Claims 8, 17, 28 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kobayashi, and in view of Jokimies, Sutinen et al, Schmidt et al (US: 2003/0078890).

Kobayashi in view of Jokimies, Sutinen et al as stated in claim 2 above failed to teach "the data formatted.....formats". However, Schmidt et al teach on section [0298] SyncML MIME data format.

It would have been obvious to one skilled at the time the invention was made to modify Kobayashi, Jokimies, Sutinen et al to have the "the data formatted.....formats" as taught by Schmidt et al such that the modified system of Kobayashi, Jokimies, Sutinen et al would be able to support the SyncML MIME data format to the system users.

9. Claims 9, 18, 29 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kobayashi, and in view of Jokimies, Sutinen et al, Schmidt et al, Winarski (US: 2002/0123307).

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Kobayashi in view of Jokimies, Sutinen et al, Schmidt et al as stated in claim 8 above failed to teach "the two.....vcard formats". However, Winarski teaches on section [0035] vcal and vcard formats of information.

It would have been obvious to one skilled at the time the invention was made to modify Kobayashi, Jokimies, Sutinen et al, Schmidt et al to have the "the two.....vcard formats" as taught by Winarski such that the modified system of Kobayashi, Jokimies, Sutinen et al, Schmidt et al would be able to support the vcal and vcard data formats to the system users.

10. Claims 10, 19, 30 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kobayashi, and in view of Jokimies.

Kobayashi in view of Jokimies as stated in claim 1 above failed to teach "the data message.....contact information". However, "Official Notice" is taken that content of data message is a decide choice.

It would have been obvious to one skilled at the time the invention was made to modify Kobayashi, Jokimies to have the "the data message.....contact information" such that the modified system of Kobayashi, Jokimies would be able to support the calendar, to-do list, personal information, or contact information to the system users.

#### Conclusion

11. The prior art made of record and not replied upon is considered pertinent to applicant's disclosure.

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Art Unit: 2645

• Chen et al (US: 2002/0177453) teach mobile device server.

12. Any inquiry concerning this application and office action should be directed to the

examiner Ming Chow whose telephone number is (703) 305-4817. The examiner can normally

be reached on Monday through Friday from 8:30 am to 5 pm. If attempts to reach the examiner

by telephone are unsuccessful, the examiner's supervisor, Fan Tsang, can be reached on (703)

305-4895. Any inquiry of a general mature or relating to the status of this application or

proceeding should be directed to the Customer Service whose telephone number is (703) 306-

0377. Any response to this action should be mailed to:

**Commissioner of Patents and Trademarks** 

Washington, D.C. 20231

Or faxed to Central FAX Number 703-872-9306.

Patent Examiner

Art Unit 2645

Ming Chow

Cur)

SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 2600

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Sheet 1 of 1 Form PTO-1449 U.S. DEPARTMENT OF COMMERCE ATTY. DKT. NO. SERIAL NO. PATENT AND TRADEMARK OFFICE 0171.40169X00 09/941,851 APPLICANT INFORMATION DISCLOSURE STATEMENT T. LARIKKA, et al. BY APPLICANT FILING DATE GROUP (Use several sheets if necessary) August 30, 2001 2681

#### **U.S. PATENT DOCUMENTS**

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date
(60)	AA	6,301,471	10/09/01	Dahm et al.			11/02/98
(D)	AB	6,275,575	08/14/01	Wu			01/12/00
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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Examiner	Date Considered
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# Case 6:20-cv-00490-ADA Document 66-13 Filed 04/09/21 Page 54 of 208

# Notice of References Cited Application/Control No. O9/941,851 Examiner Art Unit Ming Chow Applicant(s)/Patent Under Reexamination LARIKKA ET AL. Page 1 of 1

#### U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	Α	US-6,633,759	10-2003	Kobayashi, Koichi	455/419
	В	US-5,353,328	10-1994	Jokimies, Matti	455/558
	O	US-6,560,456	05-2003	Lohtia et al.	455/445
	D	US-6,097,961	08-2000	Alanara et al.	455/466
	Е	US-2002/0161769	10-2002	Sutinen et al.	707/10
	F	US-2004/0048603	03-2004	Corneliussen et al.	455/414.1
	G	US-2003/0078890	04-2003	Schmidt et al.	705/51
	Η	US-2002/0123307	09-2002	Winarski, Tyson	455/41
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#### **NON-PATENT DOCUMENTS**

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<sup>\*</sup>A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.





# UNITED STATES PATENT AND TRADEMARK OFFICE

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United States Patent and Trademark Office
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Alexandria, Virginia 22313-1450
www.unpto.gov

## \*BIBDATASHEET\*

Bib Data Sheet

## **CONFIRMATION NO. 7553**

SERIAL NUMBER 09/941,851	FILING DATE 08/30/2001 RULE	.CLASS 455	GROU	JP ART U 2645	JNIT	D	ATTORNEY OCKET NO. 7.40169X00
APPLICANTS							
Tapani Larikka, Vesi	lahti, FINLAND;						
Jari Juopperi, Helsin	ki, FINLAND;						
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ADDRESS Antonelli, Terry, Stout & Kraus, LLP Suite 1800 1300 North Seventeenth Street Arlington , VA 22209							
TITLE Message transfer fro	m a source device via a	mobile terminal device	to a thir	d device			
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Search Notes			

Application No.	Applicant(s)
09/941,851	LARIKKA ET AL.
Examiner	Art Unit
Ming Chow	2645

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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

T. LARIKKA et al

Application No.:

09/941,851

Filed:

August 30, 2001

For:

MESSAGE TRANSFER FROM A SOURCE DEVICE VIA A

MOBILE TERMINAL DEVICE TO A THIRD DEVICE

Art Unit:

2645

Examiner:

C. MING

#### <u>AMENDMENT</u>

MS: AMENDMENTS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 May 16, 2005

Sir:

In response to the Office Action dated December 16, 2004, the period of response for which extension is requested by the attached Petition for Extension of Time, please amend the above-identified application as listed below and as set forth on the following pages:

Amendments to the Specification;

Amendments to the Claims;

Amendments to the Drawings describing changes to the drawings shown in the Appendix;

Remarks are included following the amendments; and

Appendix A including an amended drawing figure follows the remarks.

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Dkt. 0171.40169X00

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#### AMENDMENTS TO THE SPECIFICATION

Please replace paragraph [0005] with the following amended paragraph:

[0005] As noted on the Web site of the above-noted organization, www.syncml.org, SyncML is a common language which has been developed for synchronizing devices and applications over any network. SyncML leverages XML (Extensible Markup Language), that is, a SyncML message is an XML document, and with SyncML, network information can be synchronized with any mobile device or terminal and mobile information can be synchronized with any networked application or applications. With SyncML, any personal information, such as e-mail, calendars, to-do lists, contact information and other relevant data, etc., will be consistent, accessible, and up-to-date, no matter where the information is stored. For example, a calendar entry made to a mobile device or terminal on a business trip is equally available to a secretary in the network calendar.

Please replace paragraph [0010] with the following amended paragraph:

[0010] Accordingly, it is an object of the present invention to utilize the short range communication capability eapability—of a mobile device or terminal device as a transport mechanism to forward SyncML messages from a source device or terminal device to another terminal device.

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#### AMENDMENTS TO THE CLAIMS

This listing of claims will replace all prior versions, and listings, of claims in the application.

#### **Listing of Claims:**

1. (Currently Amended) A method of transferring a data message synchronization between a first terminal device and a second, remotely located, terminal device via an intermediate terminal device, the method comprising:

entering a data message into obtaining, at the first terminal device, data to be synchronized with the second remotely located terminal device;

transmitting the data message from the first terminal device to the intermediate terminal device through a short-range connection;

formatting the data to be synchronized into at least one SMS (Short Message Service) message in the intermediate terminal device; and

transmitting the at least one SMS message from the intermediate terminal device to the second remotely located terminal device through a cellular network connection.

- 2. (Original) The method of claim 1, wherein formatting the data message comprises formatting the data in a SyncML format.
- 3. (Original) The method of claim 1, wherein the intermediate terminal device comprises a mobile terminal device.

Application No.: 09/341,851 Dkt. 0171.40169X00
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4. (Original) The method of claim 3, wherein the at least one SMS message is transmitted via a mobile network including an SMS message center.

- 5. (Currently Amended) The method of claim 4, wherein the at least one SMS message is transmitted from the intermediate terminal device to the second, remotely located, terminal device via the mobile network and a gateway and the Internet.
- 6. (Original) The method of claim 1, wherein the at least one SMS message comprises a compressed SMS message.
- 7. (Original) The method of claim 6, wherein the compressed SMS message comprises a WBXML (Wireless Application Protocol Binary Extensible Markup Language) encoded message.
- 8. (Original) The method of claim 2, wherein the data formatted in a SyncML format comprises one of two MIME (Multipurpose Internet Mail Extensions) formats.
- 9. (Original) The method of claim 8, wherein the two MIME formats comprise vcal and vcard formats.
- 10. (Original) The method of claim 1, wherein the data message comprises one of a calendar, a to-do list, personal information, and contact information.

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11. (Original) The method of claim 1, wherein the data message is transferred from the first terminal device to the intermediate terminal device via a short range communication link.

12. (Original) The method of claim 11, wherein the short range communication link comprises one of an IR (Infrared) or Bluetooth communication link.

13. (Currently Amended) A mobile terminal device comprising:

a data message receiver to receive a data message data from a first terminal device, which data is to be synchronized with a second, remotely located, terminal device via a short range communication link;

a formatter to format the received data message into at least one SMS (Short Message Service) message; and

a transmitter to transmit the at least one SMS message to another the second, remotely located, terminal device through a cellular network connection.

- 14. (Original) The device of claim 13, wherein the formatter formats the data in a SyncML format.
- 15. (Original) The device of claim 13, wherein the at least one SMS message formatted by the formatter comprises a compressed SMS message.
- 16. (Original) The device of claim 15, wherein the compressed SMS message formatted by the formatter comprises a WBXML (Wireless Application Protocol

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Binary Extensible Markup Language) encoded message.

17. (Original) The device of claim 13, wherein the data formatted by the formatter in a SyncML format comprises one of two MIME (Multipurpose Internet Mail Extensions) formats.

- 18. (Original) The device of claim 17, wherein the two MIME formats formatted by the formatter comprise vcal and vcard.
- 19. (Original) The device of claim 13, wherein the data comprises one of a calendar, a to-do list, personal information, and contact information.
- 20. (Original) The device of claim 13, wherein the data receiver receives data messages via one of an IR (Infrared) or Bluetooth communication link.
- 21. (Currently Amended) A program storage device, readable by machine, tangibly embodying a program of instructions executable by machine to perform a method of transferring a data message synchronization between a first terminal device and a second, remotely located, terminal device via an intermediate terminal device, the method comprising:

entering a data message into obtaining, at the first terminal device, data to be synchronized with the second remotely located terminal device;

transmitting the data message from the first terminal device to the intermediate terminal device through a short-range connection;

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formatting the data to be synchronized into at least one SMS (Short Message Service) message in the intermediate terminal device; and

transmitting the at least one SMS message from the intermediate terminal device to the second remotely located terminal device through a cellular network connection.

- 22. (Original) The device of claim 21, wherein formatting the data message comprises formatting the data in a SyncML format.
- 23. (Original) The device of claim 21, wherein the intermediate terminal device comprises a mobile terminal device.
- 24. (Original) The device of claim 23, wherein the at least one SMS message is transmitted via a mobile network including an SMS message center.
- 25. (Currently Amended) The device of claim 24, wherein the at least one SMS message is transmitted from the intermediate terminal device to the second remotely located terminal device via the mobile network and a gateway and the Internet.
- 26. (Original) The device of claim 21, wherein the at least one SMS message comprises a compressed SMS message.

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27. (Original) The device of claim 26, wherein the compressed SMS message comprises a WBXML (Wireless Application Protocol Binary Extensible Markup Language) encoded message.

- 28. (Original) The device of claim 22, wherein the data formatted in a SyncML format comprises one of two MIME (Multipurpose Internet Mail Extensions) formats.
- 29. (Original) The device of claim 28, wherein the two MIME formats comprise vcal and vcard formats.
- 30. (Original) The device of claim 21, wherein the data message comprises one of a calendar, a to-do list, personal information, and contact information.
- 31. (Original) The device of claim 21, wherein the data message is transferred from the first terminal device to the intermediate terminal device via a short range communication link.
- 32. (Original) The device of claim 31, wherein the short range communication link comprises one of an IR (Infrared) or Bluetooth communication link.
- 33. (Currently Amended) A method of transferring data synchronization between a first terminal device and a, remotely located, second terminal device via an intermediate terminal device, the method comprising:

entering data into obtaining, at the first terminal device, data to be

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synchronized with the second remotely located terminal device;

transmitting the data from the first terminal device to the intermediate terminal device through a short-range connection;

formatting the data into at least one <u>SMS (Short Message Service)</u> message in the intermediate terminal device;

transmitting the least one <u>SMS</u> message from the intermediate terminal device to a message center; and

transmitting the at least one message from the message center to the second remotely located terminal device through a cellular network connection.

#### Claim 34. (Canceled without prejudice or disclaimer)

- 35. (Original) The method of claim 34, wherein the message center comprises an SMS message center.
- 36. (Original) The method of claim 33, wherein the data is transmitted from the first terminal device to the intermediate terminal device via a short range communication link.
- 37. (Original) The method of claim 34, wherein the short range communication link comprises one of either an IR (Infrared) or Bluetooth communication link.

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38. (Currently Amended) A program storage device, readable by machine, tangibly embodying a program of instructions executable by machine to perform a method of transferring-data synchronization between first and second remotely located terminal devices via an intermediate terminal device, the method comprising:

entering data into obtaining, at the first terminal device, data to be synchronized with the second remotely located terminal device;

transmitting the data from the first terminal device to the intermediate terminal device through short-range connection;

formatting the data into at least one <u>SMS (Short Message Service)</u> message in the intermediate terminal device;

transmitting the least one message from the intermediate terminal device to a message center; and

transmitting the at least one message from the message center to the second remotely located terminal device through a cellular or network connection.

#### Claim 39. (Canceled without prejudice or disclaimer.)

- 40. (Original) The device of claim 38, wherein the message center comprises an SMS message center.
- 41. (Original) The device of claim 38, wherein the data is transmitted from the first terminal device to the intermediate terminal device via a short range communication link.

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42. (Original) The device of claim 39, wherein the short range communication link comprises one of either an IR (Infrared) or Bluetooth communication link.

43. (Original) The method of claim 1, further comprising transmitting at least one other SMS message from the second terminal device to the first terminal device

via the intermediate terminal device.

44. (Original) The device of claim 13, further comprising a receiver to receive at least one other SMS message from the another terminal device and a data message transmitter to transmit the at least one other SMS message to the first terminal device.

45. (Original) The device of claim 21, further comprising transmitting at least one other SMS message from the second terminal device to the first terminal device via the intermediate terminal device.

- 46. (Original) The method of claim 33, further comprising transmitting other data from the second terminal device to the first terminal device via the intermediate terminal device.
- 47. (Original) The device of claim 38, further comprising transmitting other data from the second terminal device to the first terminal device via the intermediate terminal device.

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**REMARKS** 

Reconsideration and allowance of this application, as amended, is respectfully

requested.

This Amendment is in response to the Office Action dated December 16,

2004. By the present Amendment, the Specification has been amended to delete

the reference to the embedded hyperlink, as required in paragraph 1 of the Office

Action. Also, the drawing has been amended to show that Fig. 3 includes all of the

elements, as indicated by a bracket in the amended Fig. 3. Regarding this, it is

noted that Fig. 3 illustrates how a SyncML message is split up and inserted into a

plurality of SMS messages in accordance with the invention (e.g., see paragraph

[0015]). As such, the arrows shown in Fig. 3 art necessary for illustrating how the

different portions of the SyncML message are split up to place into individual SMS

messages. This is described, for example, in paragraph [0025]. Accordingly, entry

of the replacement drawing to illustrate the concepts discussed in paragraph [0015]

and [0025], and removal of the objection to the drawings is respectfully requested.

Also by the present Amendment, the claims have been amended to clarify the

invention as will be discussed below.

Briefly, the present invention is directed to an arrangement which allows for

the synchronization of data using an arrangement such as SyncML between two

terminals (e.g., such as 160 and 150 in Fig. 1) which are remote from each other,

particularly when one of the terminals only has short-range communication

capabilities (such as the personal server 160 shown in Fig. 1). As noted in

paragraph [0006] of the Specification, previous systems synchronizing remote

terminals using SyncML have typically used three different transport alternatives for

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#### **AMENDMENTS TO THE DRAWINGS**

The attached sheet includes changes to Fig. 3. This sheet replaces the original sheet showing Fig. 3. By the present amendment, Fig. 3 has been amended in response to the objection to the drawings set forth in the Office Action.

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sending the SynchML messages. These alternatives are HTTP, WFD or OBEX.

However, as noted in paragraph [0007]:

"while each of these alternatives are usable, they all have the same defect, namely, all of these alternatives require the use of a continuous data

connection between the mobile device or terminal and the network."

This has been a problem in many instances. In addition, as noted in paragraph

[0008], current portable personal servers often only have short range communication

capabilities, such as IR, or Bluetooth. As such, present personal portable servers

typically are unable to synchronize data with remotely located terminals.

Accordingly, the present invention is directed to overcoming this problem.

In particular, the present invention utilizes a terminal, such as the mobile

terminal 100 in Fig. 1, which has SMS (Short Message Service) as an intermediary

terminal between the terminal having only a short range communication capability

(e.g., the personal server 160 of Fig. 1) and the remote terminal (e.g., 150 in Fig. 1).

This is discussed, for example, in paragraph [0010] which states:

"Accordingly, it is an object of the present invention to utilize the short range communication capability of a mobile device or terminal device as a transport mechanism to forward SyncML messages from a source device or terminal

device to another terminal device. "

Further, as noted in paragraph [0009], the use of SMS allows for a store and forward

service, thus eliminating the requirement for a continuous data connection.

Therefore, the present invention does not suffer from the drawbacks of requiring a

continuous data connection for synchronization between terminals using, for

example SyncML, which has been required in the past.

Reconsideration and allowance of claims 1 to 33, 35 to 38 and 40 to 47, as

amended, over the various prior art rejections set forth in the Office Action based on

the combination of Kobayashi (USP 6,633,759) and Jokimies (USP 5,353,328) is

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respectfully requested, whether considered alone or in combination with one another or the various other cited references to Sutinen (US2002/0161769), Lohtia et al (USP 6,560,456), Alanara et al (USP 6,097,961), Corneliussen et al (US 2004/0048603), Schmidt et al (US2003/0078890) or Winarski (US 2002/0123307). In regard to this, by the present Amendment, each of the independent claims has been amended to clarify the features of the present invention in providing data synchronization between remotely located devices, using the intermediate terminal to convert the data synchronization information into SMS, wherein the communication between the first terminal and the intermediate terminal is done through a short range connection, and wherein the communication between the intermediate terminal and the second terminal is done through a cellular network connection. It is respectfully requested that neither Kobayashi nor Jokimies, whether considered alone or in combination with one another or any of the other cited references, teach or suggest these specific claimed features. Incidentally, it is noted that the secondary reference to Sutinen et al is assigned to the same assignee Nokia Corporation, as the present application (and was commonly assigned at the time of filing of the present application). Inasmuch as the Sutinen et al reference is being used based on the combination of 35 USC §102(e)/103, this document is unavailable as a reference due to the common assignment of the Sutinen et al document and the present application.

With regard to the primary reference to Kobayashi, as recognized in the Office Action, this reference fails to teach the formatting of data to be synchronized into an SMS message and an intermediate terminal device. As such, Kobayashi lacks a fundamental feature of the present invention, that is, the reformatting of the

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Art Unit: 2645

Dkt. 0171.40169X00

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synchronization data into an SMS message. In addition, Kobayashi fails to teach or

suggest the synchronization between two remotely located data terminals, wherein

one of the data terminals transmits to an intermediate device through a short range

connection while the intermediate device transfers to the second remotely located

terminal through a cellular network connection.

In the Office Action, the reference to Jokimies is used for teaching the use of

a mobile phone for converting received data into SMS for transmitting. Jokimies is

directed to a data adapted for a mobile phone which provides an arrangement to

convert received data into the SMS format to enable the phone to be used for

receiving/transmitting data messages other than normal SMS messages. However,

again, Jokimies gives no suggestion whatsoever for an arrangement to provide data

synchronization between a first terminal having only a short-range communication

link and a remote terminal using an intermediate terminal to convert the data to be

synchronized into an SMS message. Therefore, it is respectfully submitted that,

even if Jokimies and Kobayashi were combined, the resulting combination would

still fail to teach or suggest the present claimed invention.

With regard to the various secondary references, although these are of

general interest to various isolated features, it is noted that none of them teach or

suggest anything that would overcome the basic shortcomings in Kobayashi and

Jokimies, or the combination thereof, discussed above. Therefore, it is respectfully

submitted that the amended claims clearly define over the cited prior art, and

reconsideration and allowance of the claims, as amended, is respectfully requested.

If the Examiner believes that there are any other points which may be clarified

or otherwise disposed of either by telephone discussion or by personal interview, the

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Examiner is invited to contact Applicants' undersigned attorney at the number indicated below.

To the extent necessary, Applicants petition for an extension of time under 37 CFR 1.136. Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to the Antonelli, Terry, Stout & Kraus, LLP Deposit Account No. 01-2135 (Docket No. 0171.40169X00), and please credit any excess fees to such deposit account.

Respectfully submitted, ANTONELLI, TERRY, STOUT & KRAUS, LLP

Gregory E. Montone

Reg. No. 28,141

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1300 North Seventeenth Street, Suite 1800

Arlington, Virginia 22209 Telephone: (703) 312-6600 Facsimile: (703) 312-6666

Application No.: 09/341,851 Art Unit: 2645

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Appendix A

# Replacement Sheet



**SMS MESSAGE SMS MESSAGE SMS MESSAGE SMS MESSAGE** FIG. 3 SyncML MESSAGE SyncML MESSAGE SyncML MESSAGE SyncML MESSAGE PORTION 4 PORTION 1 PORTION 2 PORTION 3

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May 16, 2005

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

T. LARIKKA et al

Application No.:

09/941,851

Filed:

August 30, 2001

For:

MESSAGE TRANSFER FROM A SOURCE DEVICE VIA A

MOBILE TERMINAL DEVICE TO A THIRD DEVICE

Art Unit:

2645

Examiner:

C. MING

### PETITION FOR EXTENSION OF TIME

**Commissioner For Patents** 

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

In the matter of the above-identified application, Applicants hereby respectfully petition for an extension of time to permit filing a response within a two-month time period subsequent to expiration of the shortened statutory period set in the outstanding Office Action mailed December 16, 2004. A credit card payment form in the amount of \$450.00 to cover the required fee for the requested extension of time is attached hereto.

It is respectfully requested that any shortage in the fee be charged to the deposit account of Antonelli, Terry, Stout & Kraus, LLP, Account No. 01-2135 (Docket No. 0171.40169X00) and any excess fees credited to that account.

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP

05/17/2005 HALI11

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Gregory E. Montone

Reg. No. 28,141

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# United States Patent and Trademark Office



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
09/941,851	08/30/2001	Tapani Larikka	017.40169X00 7553		
75	90 07/15/2005		EXAM	INER	
Antonelli, Ter	ry, Stout & Kraus, LLP		CHOW,	MING	
Suite 1800 1300 North Sev	enteenth Street		ART UNIT	PAPER NUMBER	
Arlington, VA	22209		2645		
			DATE MAILED: 07/15/2003	5	

Please find below and/or attached an Office communication concerning this application or proceeding.

Case 6:20-cv-00490-ADA Document 66-13 Filed 04/09/21 Page 81 of 208

		Application No.	Applicant(s)	
		09/941,851	LARIKKA ET AL.	
	Office Action Summary	Examiner	Art Unit	
	•	Ming Chow	2645	
Period fo	The MAILING DATE of this communication a or Reply	appears on the cover sheet w	ith the correspondence address	
THE - Exte after - If the - If NO - Failu Any	ORTENED STATUTORY PERIOD FOR REF MAILING DATE OF THIS COMMUNICATION must be available under the provisions of 37 CFR SIX (6) MONTHS from the mailing date of this communication. It is period for reply specified above is less than thirty (30) days, a replay period for reply is specified above, the maximum statutory perior to reply within the set or extended period for reply will, by state reply received by the Office later than three months after the material part of t	N. 1.136(a) In no event, however, may a reply within the statutory minimum of thi od will apply and will expire SIX (6) MOI tute, cause the application to become A	reply be timely filed ty (30) days will be considered timely. ITHS from the mailing date of this communication. 3ANDONED (35 U.S.C. § 133).	
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1)⊠	Responsive to communication(s) filed on 16	6 May 2005.		•
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Disposit	ion of Claims			
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9)[	The specification is objected to by the Exami	iner.		
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a)	Acknowledgment is made of a claim for forei  All b) Some * c) None of:  1. Certified copies of the priority docume  2. Certified copies of the priority docume  3. Copies of the certified copies of the priority docume  application from the International Bure  See the attached detailed Office action for a light	ents have been received. ents have been received in <i>i</i> riority documents have been eau (PCT Rule 17.2(a)).	Application No received in this National Stage	
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	ce of References Cited (PTO-892)		Summary (PTO-413)	
3) Infor	ce of Draftsperson's Patent Drawing Review (PTO-948) mation Disclosure Statement(s) (PTO-1449 or PTO/SB/0er No(s)/Mail Date		s)/Mail Date nformal Patent Application (PTO-152)	

Application/Control Number: 09/941,851

Art Unit: 2645

Page 2

## Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

- (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 1. Claims 1, 3, 13, 21, 23, 33, 38, 11, 12, 20, 31, 32, 36, 37, 41, 42, 43-47 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kobayashi (US: 6633759), and in view of Jokimies (US: 5353328).

Regarding claims 1, 3, 13, 21, 23, 33, 38, Kobayashi teaches on column 13 line 62 to column 14 line 6, message data is entered in the PC (claimed "first terminal device") and send the message data to the cellular phone (claimed "intermediate terminal device"). The sending of same message data from the PC to the cellular phone is a synchronization. Kobayashi teaches Fig. 9, short-range wireless communication between the PC and the cellular phone (reads on claimed "remotely located").

Kobayashi failed to teach "formatting the data to be synchronized into at least one SMS message in the intermediate terminal device". However, Jokimies teaches on Abstract – an adapter for a mobile phone to convert received data into SMS for transmitting.

Kobayashi teaches on column 14 line 26-32, transmit the received data from the intermediate terminal to a third terminal (claimed "second terminal device"). Kobayashi teaches

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Art Unit: 2645

the intermediate terminal is a cellular phone (reads on claimed "through a cellular network connection").

It would have been obvious to one skilled at the time the invention was made to modify Kobayashi to have the formatting the data to be synchronized into at least one SMS message in the intermediate terminal device as taught by Jokimies such that the modified intermediate terminal of Kobayashi would be able to support the formatting data message into SMS to the system users.

Regarding claims 11, 12, 20, 31, 32, 36, 37, 41, 42, Kobayashi teaches on column 4 line 55-64 the PC (claimed "first terminal device") and the cellular phone (claimed "intermediate terminal") are connected via bluetooth interface (claimed "a short range communication link").

Regarding claims 43-47, Kobayashi teaches on column 14 line 25-31 the data can be transmitted and received among multiple devices (reads on claimed "from the second terminal device to the first terminal device").

2. Claims 2, 14, 22 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kobayashi, and in view of Jokimies, Sutinen et al (US: 2002/0161769).

Kobayashi in view of Jokimies as stated in claim 1 above failed to teach "formatting the data message comprises formatting the data in a SyncML format". However, Sutinen et al teach on Fig. 3 and section [0005] – data in SyncML format for synchronization.

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Application/Control Number: 09/941,851

Art Unit: 2645

It would have been obvious to one skilled at the time the invention was made to modify Kobayashi, Jokimies to have the formatting the data message comprises formatting the data in a SyncML format as taught by Sutinen et al such that the modified system of Kobayashi, Jokimies would be able to support the SyncML format data to the system users.

3. Claims 4, 24, 35, 40 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kobayashi, and in view of Jokimies, Lohtia et al (US: 6560456).

Regarding claim 4, 24, 35, 40, 5, 25, Kobayashi in view of Jokimies as stated in claim 1 above failed to teach "SMS message center". However, Lohtia et al teach on item 16 Fig. 1 and column 12 line 20 a SMS message center.

It would have been obvious to one skilled at the time the invention was made to modify Kobayashi, Jokimies to have the SMS message center as taught by Lohtia et al such that the modified system of Kobayashi, Jokimies would be able to support the SMS message center to the system users.

Regarding claims 5, 25, Kobayashi in view of Jokimies, Lohtia et al as stated in claim 4 above failed to teach "the at least one.....and the internet". However, Lohtia et al teach on item 304 Fig. 3 MSC (claimed "mobile network")., item 302 Fig. 3 WWIS Gateway, item 303 Fig. 3 Internet.

It would have been obvious to one skilled at the time the invention was made to modify Kobayashi, Jokimies, Lohtia et al to have the at least one....and the internet as taught by Lohtia Application/Control Number: 09/941,851

Art Unit: 2645

et al such that the modified system of Kobayashi, Jokimies, Lohtia et al would be able to support

the mobile network, gateway, and Internet for transmitting SMS messages to the system users.

4. Claims 6, 15, 26 are rejected under 35 U.S.C. 103(a) as being unpatentable over

Kobayashi, and in view of Jokimies, Alanara et al (US: 6097961).

Kobayashi in view of Jokimies as stated in claim 1 above failed to teach "the at

least.....SMS message". However, Alanara et al teach on column 15 line 24-25 a controller on

the mobile station to compress SMS messages.

It would have been obvious to one skilled at the time the invention was made to modify

Kobayashi, Jokimies to have the at least....SMS message as taught by Alanara et al such that the

modified system of Kobayashi, Jokimies would be able to support the compressed SMS message

to the system users.

5. Claims 7, 16, 27 are rejected under 35 U.S.C. 103(a) as being unpatentable over

Kobayashi, and in view of Jokimies, Alanara et al, Corneliussen et al (US: 2004/0048603).

Kobayashi in view of Jokimies, Alanara et al as stated in claim 6 above failed to teach

"the compressed SMS.....encoded message". However, Corneliussen et al teach on section

[0041] SMS server builds WBXML messages.

It would have been obvious to one skilled at the time the invention was made to modify

Kobayashi, Jokimies, Alanara et al to have the "the compressed SMS....encoded message" as

taught by Corneliussen et al such that the modified system of Kobayashi, Jokimies, Alanara et al

would be able to support the WBXML encoded message to the system users.

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Application/Control Number: 09/941,851

Art Unit: 2645

Page 6

6. Claims 8, 17, 28 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kobayashi, and in view of Jokimies, Sutinen et al, Schmidt et al (US: 2003/0078890).

Kobayashi in view of Jokimies, Sutinen et al as stated in claim 2 above failed to teach "the data formatted.....formats". However, Schmidt et al teach on section [0298] SyncML MIME data format.

It would have been obvious to one skilled at the time the invention was made to modify Kobayashi, Jokimies, Sutinen et al to have the "the data formatted.....formats" as taught by Schmidt et al such that the modified system of Kobayashi, Jokimies, Sutinen et al would be able to support the SyncML MIME data format to the system users.

7. Claims 9, 18, 29 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kobayashi, and in view of Jokimies, Sutinen et al, Schmidt et al, Winarski (US: 2002/0123307).

Kobayashi in view of Jokimies, Sutinen et al, Schmidt et al as stated in claim 8 above failed to teach "the two.....vcard formats". However, Winarski teaches on section [0035] vcal and vcard formats of information.

It would have been obvious to one skilled at the time the invention was made to modify Kobayashi, Jokimies, Sutinen et al, Schmidt et al to have the "the two.....vcard formats" as taught by Winarski such that the modified system of Kobayashi, Jokimies, Sutinen et al, Schmidt et al would be able to support the vcal and vcard data formats to the system users.

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8. Claims 10, 19, 30 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kobayashi, and in view of Jokimies.

Kobayashi in view of Jokimies as stated in claim 1 above failed to teach "the data message.....contact information". However, "Official Notice" is taken that content of data message is a decide choice.

It would have been obvious to one skilled at the time the invention was made to modify Kobayashi, Jokimies to have the "the data message.....contact information" such that the modified system of Kobayashi, Jokimies would be able to support the calendar, to-do list, personal information, or contact information to the system users.

### Response to Arguments

- 9. Applicant's arguments filed on 5/16/05 have been fully considered but they are not persuasive.
  - i) Applicant argues, on page 15, regarding new amendments. New grounds of rejections necessitated by the amendments have been stated above.

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Application/Control Number: 09/941,851

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Conclusion

Applicant's amendment necessitated the new ground(s) of rejection presented in this

Office action. Accordingly, THIS ACTION IS MADE FINAL. See MPEP § 706.07(a).

Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE

MONTHS from the mailing date of this action. In the event a first reply is filed within TWO

MONTHS of the mailing date of this final action and the advisory action is not mailed until after

the end of the THREE-MONTH shortened statutory period, then the shortened statutory period

will expire on the date the advisory action is mailed, and any extension fee pursuant to 37

CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event,

however, will the statutory period for reply expire later than SIX MONTHS from the date of this

final action.

10. Any inquiry concerning this application and office action should be directed to the

examiner Ming Chow whose telephone number is (571) 272-7535. The examiner can normally

be reached on Monday through Friday from 8:30 am to 5 pm. If attempts to reach the examiner

by telephone are unsuccessful, the examiner's supervisor, Fan Tsang, can be reached on (571)

272-7547. Any inquiry of a general mature or relating to the status of this application or

Application/Control Number: 09/941,851

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Art Unit: 2645

proceeding should be directed to the Customer Service whose telephone number is (571) 272-2600. Any response to this action should be mailed to:

**Commissioner of Patents and Trademarks** 

Washington, D.C. 20231

Or faxed to Central FAX Number 571-273-8300.

Patent Examiner

Art Unit 2645

Ming Chow

SUPERVISORY PATENT EXAMINER TOHNOLOGY CENTER 2600

# Case 6:20-cv-00490-ADA Document 66-13 Filed 04/09/21 Page 90 of 208



Application/Control No.	Applicant(s)/Patent under Reexamination				
09/941,851	LARIKKA ET AL.				
Examiner	Art Unit				
Ming Chow	2645				

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PAGE 001/003

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OCT 14 2005

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I hereby certify that the following documents are being facsimile transmitted to the Patent and Trademark Office on October 14, 2005, to (571) 273-8300: \*Power of Attorney \*Statement under 37 CFR 3.73(b)

Signature: /Ross Dannenberg/

Ross A. Dannenberg, Reg. No. 49,024

Date Of Transmission: 10/14/2005 11:30:08 AM Number Of Pages Including This Cover Sheet: 3

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STATEMEN'	T UNDER 37 CFR 3.73(b)	RECEIVED
Applicant/Patent Owner: Nokia Corporation		CENTRAL FAX CENTER
Application No./Patent No.: 09/941,851 Fi	led/Issue Date: Filed 30-Aug-2001	OCT 14-9uin
Entitled: Message Transfer From a Source Device Via a Mobi	le Terminal Device to a Third Device	
Nokia Corporation , a (Name of Assignee)	Corporation (Type of Assignee, e.g., corporation, partner	ship, university, government agency, etc.)
states that it is:  1.  the assignee of the entire right, title, and interest;	or	
an assignee of less than the entire right, title and The extent (by percentage) of its ownership interest.		
in the patent application/patent identified above by virtue	e of either:	
A An assignment from the inventor(s) of the patent in the United States Patent and Trademark Office thereof is attached.		
B. A chain of title from the inventor(s), of the patent a below:	application/patent identified above, t	to the current assignee as shown
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Copies of assignments or other documents in the ch [NOTE: A separate copy (i.e., a true copy of the orig Division in accordance with 37 CFR Part 3, if the MPEP 302.08]	jinal assignment document(s)) must	
The undersigned (whose title is supplied below) is author	rized to act on behalf of the assigne	ee.
/Ross Dannenberg/		14-Oct-2005
Signature		Date
Ross A. Dannenberg, Reg. No. 49,024	<del> </del>	202-824-3000
Printed or Typed Name		Telephane Number
Counsel of Record		
Title		

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time your require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PAGE 003/003

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Appln. No.: 09/941,851

Amendment dated October 17, 2005 Reply to Office Action of July 15, 2005 **UUI 17 2005** 

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of (first named inventor):

Atty. Docket No.:

(Amended)

004770.00538

Tapani Larikka

09/941,851 Serial No.:

Group Art Unit:

2645

Filed:

August 30, 2001

Examiner:

Chow, Ming

For:

Message Transfer From A Source

Device Via A Mobile Terminal Device

To A Third Device

Confirmation No.:

7553

## RESPONSE TO FINAL OFFICE ACTION

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I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office on October 17, 2005, to (571) 273-8300.

Signature: /Ross Dannenberg/ Ross A. Dannenberg, Reg. No. 49,024

Sir:

In response to the Office Action mailed July 15, 2005, please amend the instant application as follows:

Remarks/Arguments begin on page 2 of this paper.

If any fees are required or if an overpayment is made, the Commissioner is authorized to debit or credit our Deposit Account No. 19-0733, accordingly.

10/17/2005 4:44

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Appln. No.: 09/941,851

Amendment dated October 17, 2005 Reply to Office Action of July 15, 2005

#### REMARKS/ARGUMENTS

The Office Action of July 15, 2005 has been carefully reviewed and these remarks are responsive thereto. Reconsideration and allowance of the instant application are respectfully requested.

### Summary of Telephonic Interview

As new counsel of record, Applicant's undersigned representative thanks the examiner for the telephonic interview of October 14, 2005, in which the examiner and Applicant's undersigned representative discussed the pending issues in this case in an attempt to further prosecution of this application.

During the interview, Applicant's undersigned representative and the examiner discussed, without agreement, the rejection of claim 1. Applicant's undersigned representative pointed out that the Office Action of July 15, 2005, in rejecting claim 1, relies on the Kobayashi reference (U.S. Pat. No. 6,633,759) for all features of claim 1 except the step of formatting the data to be synchronized into at least one SMS message. Applicant's undersigned representative further pointed out that every connection in Kobayashi is between two devices, without passing data through a third device. That is, Kobayashi describes technology whereby one device is used as a viewer for a second device. The first device and second device are connected via a short-range connection, e.g., Bluetooth. See, e.g., Kobayashi, col. 13-14.

While the Office Action relies on col. 14, lines 26-32 of Kobayshi as allegedly describing an intermediate (i.e., third) device, Kobayashi instead merely indicates that the connection between two devices can be created multiple times. Each connection, however, is still only between two devices at a time. In Kobayashi, data is never transferred from a first device, through an intermediate (i.e., third) device, to a second device.

In response, the examiner indicated for the first time that the adapter of the Jokimies reference might be considered a terminal device. Applicant respectfully disagrees. Terminal devices are well known in the art. A terminal device is generally known in the art as a point at which a telephone call can end, i.e., terminate. See, e.g., Newton's Telecom Dictionary, 17th Ed., CMP Books, 2001, p. 692 ("Terminal: 1. The point at which a telephone line ends."); p. 693

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("Terminal Equipment: ...telephones and other equipment at the end of telephone lines."). In addition, Jokimies does not teach or suggest that the adapter connects to anything via a wireless connection as claimed, but rather has a cable 5 to connect to a mobile telephone 1, and a data connector (input/output) for connection to a PC. See Jokimies, col. 2, lines 28-57. Therefore, Jokimies does not teach an intermediate terminal device, and claims 1, 13, 21, 33, and 38 are not obvious over Kobayashi and Jokimies.

#### Statement of Common Ownership

The present application and the Sutinen (US 2002/0161769), Schmidt (US 2003/0078890 A1), and Corneliussen (US 2004/0048603 A1) references were, at the time the invention was made, owned by, or subject to an obligation of assignment to, Nokia Corporation.

#### Rejections Under 35 U.S.C. § 103

Claims 1, 3, 13, 21, 23, 33, 38, 11, 12, 20, 31, 32, 36, 37, 41, 42, and 43-47 stand rejected under 35 U.S.C. § 103(a) as being unpatentable over Kobayashi (U.S. Pat. No. 6,633,759) in view of Jokimies (U.S. Pat. No. 5,353,328).

In order to establish a *prima facie* case of obviousness under § 103(a), three criteria must exist: 1) there must be some suggestion or motivation, either in the references themselves or in the knowledge generally available to one of ordinary skill in the art, to modify the reference or to combine the reference teachings; 2) there must be a reasonable expectation of success; and 3) the prior art reference(s) must teach or suggest all the claim limitations. *See* MPEP § 706.02 (j); *In re Vaeck*, 947 F.2d 488 (Fed. Cir. 1991).

As argued in the above summary of the telephonic interview, Kobayashi and Jokimies, even if combined, do not teach or suggest sending data for synchronization through an intermediate terminal device. Jokimies does not teach or suggest an intermediate terminal device, as newly argued by the examiner, because the adapter 2 of Jokimies is not the same as the claimed intermediate terminal device, as already discussed above. The Office has thus failed to establish a *prima facie* case of obviousness.

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09/941,851

Amendment dated October 17, 2005

Reply to Office Action of July 15, 2005

Additionally, there is no motivation to combine or expectation of success in the

combination of Kobayashi with Jokimies. Kobayshi describes a short range connection between

a mobile telephone and a PC. Even assuming that Jokimies taught that which the examiner proffers, which Applicant maintains it does not, Jokimies would introduce an extra level of

complexity into Kobayashi in that the connection would be relayed through an adapter coupled

to a mobile terminal. This would necessarily require additional configuration and programming,

and thus there is no expectation of success in the combination by one of ordinary skill in the art.

Thus, the Office Action fails to establish a prima facie case of obviousness for this additional

reason.

Claims 2, 14, and 22 stand rejected under 35 U.S.C. § 103(a) as being unpatentable over

Kobayashi in view of Jokimies and further in view of Sutinen (U.S. 2002/0161769). The above

Statement of Common Ownership removes Sutinen as an applicable reference for a rejection under

35 U.S.C. § 103(a), and this rejection is therefore respectfully traversed.

Claims 4, 24, 35, and 40 stand rejected under 35 U.S.C. § 103(a) as being unpatentable

over Kobayashi in view of Jokimies and further in view of Lohtia (U.S. Pat. No. 6,560,456). These

claims are allowable based on the allowability of their respective base claims, because Lohtia does

not cure the above-noted deficiencies of Kobayashi and Jokimies, and further in view of the

additional features recited in each claim.

Claims 6, 15, and 26 stand rejected under 35 U.S.C. § 103(a) as being unpatentable over

Kobayashi in view of Jokimies and further in view of Alanara (U.S. Pat. No. 6,097,961). These

claims are allowable based on the allowability of their respective base claims, because Alanara does

not cure the above-noted deficiencies of Kobayashi and Jokimies, and further in view of the

additional features recited in each claim.

Claims 7, 16, and 27 stand rejected under 35 U.S.C. § 103(a) as being unpatentable over

Kobayashi in view of Jokimies and further in view of Alanara and Corneliussen (U.S.

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Appln. No.: 09/941,851

09/941,631 dated Oatsban 17

Amendment dated October 17, 2005 Reply to Office Action of July 15, 2005

2004/0048603). The above Statement of Common Ownership removes Corneliussen as an

applicable reference for a rejection under 35 U.S.C. § 103(a), and this rejection is therefore

respectfully traversed.

Claims 8, 17, and 28 stand rejected under 35 U.S.C. § 103(a) as being unpatentable over

Kobayashi in view of Jokimies and further in view of Sutinen and Schmidt (U.S. 2003/0078890).

The above Statement of Common Ownership removes Sutinen and Schmidt as applicable

references for a rejection under 35 U.S.C. § 103(a), and this rejection is therefore respectfully

traversed.

Claims 9, 18, and 29 stand rejected under 35 U.S.C. § 103(a) as being unpatentable over

Kobayashi in view of Jokimies and further in view of Sutinen, Schmidt, and Winarski (U.S.

2002/0123307). The above Statement of Common Ownership removes Sutinen and Schmidt as

applicable references for a rejection under 35 U.S.C. § 103(a), and this rejection is therefore

respectfully traversed.

Claims 10, 19, and 30 stand rejected under 35 U.S.C. § 103(a) as being unpatentable over

Kobayashi in view of Jokimies. These claims are allowable based on the allowability of their

respective base claims, and further in view of the additional novel and nonobvious features recited

in each claim.

Applicant reserves the right to further attack the motivation to combine any or all of the

cited references, but believes this is not necessary at this time based on the above-noted features

lacking from each reference.

CONCLUSION

All rejections having been addressed, Applicant respectfully submits that the instant

application is in condition for allowance, and respectfully solicits prompt notification of the

same. However, if for any reason the Examiner believes the application is not in condition for

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Amendment dated October 17, 2005 Reply to Office Action of July 15, 2005

allowance or there are any questions, the Examiner is requested to contact the undersigned at (202) 824-3153.

Respectfully submitted,

BANNER & WITCOFF, LTD.

Dated this 17th day of October, 2005

By: \_\_\_\_

/Ross Dannenberg/

Ross Dannenberg, Registration No. 49,024

1001 G Street, N.W.

Washington, D.C. 20001-4597

Tel:

(202) 824-3000

Fax:

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09/941,851	C	8/30/2001	Tapani Larikka	017.40169X00	7553		
22907	7590	10/20/2005		EXAM	INER		
BANNER (		)FF		CHOW, MING			
1001 G STR SUITE 1100				ART UNIT	PAPER NUMBER		
WASHING		20001		2645			

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Please find below and/or attached an Office communication concerning this application or proceeding.

# Case 6:20-cv-00490-ADA Document 66-13 Filed 04/09/21 Page 102 of 208

	Application No.	Applicant(s)
Interview Summary	09/941,851	LARIKKA ET AL.
	Examiner	Art Unit
	Ming Chow	2645
All participants (applicant, applicant's representative, PTO	personnel):	-
(1) Ming Chow.	(3)	
(2) <u>Ross Dannenberg</u> .	(4)	
Date of Interview: <u>14 October 2005</u> .		
Type: a)⊠ Telephonic b)□ Video Conference c)□ Personal [copy given to: 1)□ applicant 2	²)☐ applicant's representative	<u>.</u> ]
Exhibit shown or demonstration conducted: d) Yes If Yes, brief description:	e)⊠ No.	
Claim(s) discussed: <u>1</u> .		
Identification of prior art discussed:		
Agreement with respect to the claims f) was reached. g	)⊠ was not reached. h)⊡ N	/A.
Substance of Interview including description of the general reached, or any other comments: <u>General discussions regal</u> Applicant to document all arguments and agreed to consider	<u>rrding Applicant's arguments. I</u>	Examiner requested
(A fuller description, if necessary, and a copy of the amendallowable, if available, must be attached. Also, where no coallowable is available, a summary thereof must be attached	opy of the amendments that w	
THE FORMAL WRITTEN REPLY TO THE LAST OFFICE A INTERVIEW. (See MPEP Section 713.04). If a reply to the GIVEN ONE MONTH FROM THIS INTERVIEW DATE, OR FORM, WHICHEVER IS LATER, TO FILE A STATEMENT OF Summary of Record of Interview requirements on reverse sign	last Office action has already THE MAILING DATE OF THIS DF THE SUBSTANCE OF THI	been filed, APPLICANT IS SINTERVIEW SUMMARY
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Examiner Note: You must sign this form unless it is an Attachment to a signed Office action.	Examiner's signa	ature, if required

U.S. Patent and Trademark Office PTOL-413 (Rev. 04-03)

# Chow, Ming

Ross Dannenberg [RDannenberg@bannerwitcoff.com] From:

Friday, October 14, 2005 11:38 AM Sent:

To: Chow, Ming

Subject: Application 09/941,851 (our ref 004770.00538)

#### Examiner Chow,

Today we faxed in our new Power of Attorney for this case, a courtesy copy of which is attached for your reference. If you are still available today at 3pm to discuss this case, please let me know and I will call you at that time. Otherwise, please let me know your availability on Thursday or Friday of next week. I look forward to hearing from you.

Sincerely,

Ross

Ross Dannenberg Banner & Witcoff, Ltd. 1001 G Street, NW Washington, DC 20001-4597 Direct Tel: (202) 824-3153

Direct Fax: (202) 824-3753

rdannenberg@bannerwitcoff.com

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To:

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Company:

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Fax:

15712738300

Phone:

From:

Ross Dannenberg

Fax:

Phone:

Re:

I hereby certify that the following documents are being facsimile transmitted to the Patent and Trademark Office on October 14, 2005, to (571) 273-8300:

\*Power of Attorney

\*Statement under 37 CFR 3.73(b)

Signature: /Ross Dannenberg/ Ross A. Dannenberg, Reg. No. 49,024

Date Of Transmission: 10/14/2005 11:30:08 AM Number Of Pages Including This Cover Sheet: 3

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POWER OF ATTORNEY TO DEPARTMENT OF TOP DEPARTME

## I hereby revoke all previous powers of attorney given in the application identified in the attached statement under I hereby appoint: Practitioners associated with the Customer Number. 22907 Practitioner(a) named below (if more than ten patent practitioners are to be named, then a customer number must be used): Registration Number Registration Number as alterney(s) or egent(s) to represent the undersigned before the United States Patent and Tradamark Office (USPTO) in connection with any and all patent applications assigned only to the undersigned according to the USPTO assignment records or assignment documents attached to this form in accordance with 37 CFR 3.73(b). Please change the correspondence address for the application Identified in the attached statement under 37 CFR 3.73(b) to: The address associated with Customer Number: 22907 OR Firm or Individual Name Address City State Zip Country Telephone Email Assignee Name and Address: **Nokia Corporation** Keilalahdentie 4 FIN-02150, Espoo **FINLAND** A copy of this form, together with a statement under 37 CFR 3.73(b) (Form PTO/SB/96 or equivalent) is required to be filled in each application in which this form is used. The statement under 37 CFR 3.73(b) may be completed by one of the practitioners appointed in this form if the appointed practitioner is authorized to act on behalf of the assignee, and must identify the application in which this Power of Attorney is to be filled. SIGNATURE of Assignee of Record The individual whose signature and title is supplied below is authorized to not on behalf of the assignee Signature Date 14 October 2005 Sami Saru Name Telephone + 358 50 486 7688 Tüe IPR External Associates Manager

The collection of information is required by ST CFR 1.31, 1.32 and 1.32. The information is required to obtain or retain a benefit by the public which is to file (and by the UBFTO to process) an application. Confidentiality is governed by 38 U.S.C. 122 and 37 CFR 1.17 and 1.44. This collection is estimated to take 3 minutes to complete, including gethering, prepering, and submitting the completed explication form to the USFTO. Time will vary depending upon the individual case. Any comments on the amount of time you negline to complete this form analysis reggressions for reducing this burders, should be sent to the Chief Information Officer, U.S. Peternt and Tradement Office, U.S. Department of Commence, P.O. Sox 1450, Abstraction, VA 22143-450. DO NOT SEND FEES OR COMPLETED PORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Abstracting, VA 22212-1460.

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PTC/SB/96 (09-04)
Approved for use through 07/31/2006. OMB 0651-0031
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STATEMENT UNDER 37 CFR 3.73(b)
Applicant/Patent Owner. Nokia Corporation
Application No./Patent No.: 09/941,851 Filed/Issue Date: Filed 30-Aug-2001
Entitled: Message Transfer From a Source Device Via a Mobile Terminal Device to a Third Device
Nokia Corporation , a <u>Corporation</u> (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)
states that it is: 1.  the assignee of the entire right, title, and interest; or
an assignee of less than the entire right, title and interest.  The extent (by percentage) of its ownership interest is%
in the patent application/patent identified above by virtue of either:
A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 012130 , Frame 0651 , or for which a copy thereof is attached.
OR  B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:
From:     To:     The document was recorded in the United States Patent and Trademark Office at
The document was recorded in the United States Patent and Trademark Office at  Reel, Frame, or for which a copy thereof is attached.
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The document was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.
3. From:
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Additional documents in the chain of title are listed on a supplemental sheet.
Copies of assignments or other documents in the chain of title are attached.  [NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.
/Ross Dannenberg/ 14-Oct-2005
Signature Date
Ross A. Dannenberg, Reg. No. 49.024 202-824-3000
Printed or Typed Name Telephone Number
Counsel of Record

Intia Collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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### UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Vigorias 22313-1450 www.iepto.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTY. DOCKET NO./TITLE

09/941,851

08/30/2001

Tapani Larikka

017.40169X00

**CONFIRMATION NO. 7553** 

OC000000017298436\*

22907 **BANNER & WITCOFF** 1001 G STREET N W **SUITE 1100** WASHINGTON, DC 20001

Date Mailed: 10/20/2005

# NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 10/14/2005.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

PTOSS (703) 308-9150

OFFICE COPY



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE UNITED STATES DEPARTMENT OF COMMUNICATION OF COMMUNICATION OF THE Address COMMISSIONER FOR PATENTS P.O. Box 1450
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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTY. DOCKET NO./TITLE

09/941,851

08/30/2001

Tapani Larikka

017.40169X00

**CONFIRMATION NO. 7553** 

\*OC000000017298374\*

Antonelli, Terry, Stout & Kraus, LLP **Suite 1800** 1300 North Seventeenth Street Arlington, VA 22209

Date Mailed: 10/20/2005

## NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 10/14/2005.

• The Power of Attorney to you in this application has been revoked by the assignee who has intervened as provided by 37 CFR 3.71. Future correspondence will be mailed to the new address of record(37 CFR 1.33).

SYED AREEBUDDIN PTOSS (703) 308-9150

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## Case 6:20-cv-00490-ADA Document 66-13 Filed 04/09/21 Page 109 of 208



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FI	ILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO
09/941,851	(	08/30/2001	Tapani Larikka	017.40169X00	7553
22907	7590	12/16/2005		EXAM	INER
BANNER 1001 G STI				CHOW,	MING
SUITE 110				ART UNIT	PAPER NUMBER
WASHING	TON, DC	20001		2645	

DATE MAILED: 12/16/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

Case 6:20-cv-00490-ADA Document 66-13 Filed 04/09/21 Page 110 of 208

		Application No	).	Applicant(s)	
		09/941,851		LARIKKA ET AL.	
	Office Action Summary	Examiner		Art Unit	
		Ming Chow		2645	
Period fo	The MAILING DATE of this communication Reply	on appears on the cov	er sheet with the co	orrespondence ad	Idress
WHIC - Exter after - If NO - Failu Any r	ORTENED STATUTORY PERIOD FOR INCHEVER IS LONGER, FROM THE MAILI sisions of time may be available under the provisions of 37 SIX (6) MONTHS from the mailing date of this communicate period for reply is specified above, the maximum statutory re to reply within the set or extended period for reply will, by reply received by the Office later than three months after the patent term adjustment. See 37 CFR 1.704(b).	NG DATE OF THIS C CFR 1.136(a). In no event, how tion. period will apply and will expir y statute, cause the application	COMMUNICATION wever, may a reply be time e SIX (6) MONTHS from to to become ABANDONED	l. ely filed the mailing date of this c O (35 U.S.C. § 133).	
Status					
1)	Responsive to communication(s) filed or	20 October 2005.			
,		This action is non-fi	nal.		
3)□	Since this application is in condition for a	illowance except for fo	ormal matters, pro	secution as to the	e merits is
	closed in accordance with the practice u	nder <i>Ex parte Quayle</i> ,	1935 C.D. 11, 45	3 O.G. 213.	
Dispositi	on of Claims				
4)⊠	Claim(s) <u>1-33,35-38 and 40-47</u> is/are pe	nding in the applicatio	n.		
	4a) Of the above claim(s) is/are w	ithdrawn from conside	eration.		
5)	Claim(s) is/are allowed.	,			
6)🛛	Claim(s) <u>1,3-6,10-13,15,19-21,23-26,30-</u>	. <u>33,35-38,40-47</u> is/are	rejected.		
7)🖂	Claim(s) <u>2,7-9,14,16-18,22 and 27-29</u> is	are objected to.			
8)□	Claim(s) are subject to restriction	and/or election requir	ement.		
Applicati	on Papers				
9)[	The specification is objected to by the Ex	aminer.			
10)	The drawing(s) filed on is/are: a)[	☐ accepted or b)☐ o	bjected to by the E	Examiner.	
	Applicant may not request that any objection	to the drawing(s) be hel	d in abeyance. See	e 37 CFR 1.85(a).	
	Replacement drawing sheet(s) including the	•	- · · · · · · · ·		
11)	The oath or declaration is objected to by	the Examiner. Note th	e attached Office	Action or form P	TO-152.
Priority ι	ınder 35 U.S.C. § 119				
•	Acknowledgment is made of a claim for f  ☐ All b) ☐ Some * c) ☐ None of:	oreign priority under 3	5 U.S.C. § 119(a)	-(d) or (f).	
-/	1. Certified copies of the priority doc	uments have been red	ceived.		
	2. Certified copies of the priority doc			on No	
	3. Copies of the certified copies of the				Stage
	application from the International I	Bureau (PCT Rule 17.	.2(a)).		
* 5	See the attached detailed Office action for	r a list of the certified	copies not receive	d.	
Attachmen			<del>-</del> -		
	e of References Cited (PTO-892) of Draftsperson's Patent Drawing Review (PTO-9		Interview Summary Paper No(s)/Mail Da		
3) Infon	te of Draftsperson's Patent Drawing Review (P10-8 mation Disclosure Statement(s) (PTO-1449 or PTO) or No(s)/Mail Date	/SB/08) 5) L	Notice of Informal Particle Other:		O-152)

Application/Control Number: 09/941,851

Art Unit: 2645

## Response to Amendment

1. Applicant's request for reconsideration of the finality of the rejection of the last Office action is persuasive and, therefore, the finality of that action is withdrawn.

#### ALLOWABLE SUBJECT MATTER

- 1. Claims 2, 7-9, 14, 16-18, 22, 27-29 are allowed.
- 2. The following is an examiner's statement of reasons for allowance:

Upon close review of the claims, the prior art and applicant's remarks it appears that the allowance of claims 2, 7-9, 14, 16-18, 22, 27-29 are appropriate. The prior art does not teach formatting the data message comprising formatting the data in a SyncML format wherein the data formatted in a SyncML format comprises one of two MIME formats. The two MIME formats comprise vcal and vcard formats. The compressed SMS message comprises a WBXML encoded message.

Application/Control Number: 09/941,851

Art Unit: 2645

# Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

- (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 2. Claims 1, 3, 13, 21, 23, 33, 38, 11, 12, 20, 31, 32, 36, 37, 41, 42, 43-47 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kobayashi (US: 6633759), and in view of Jokimies (US: 5353328).

Regarding claims 1, 3, 13, 21, 23, 33, 38, Kobayashi teaches on column 13 line 62 to column 14 line 6, message data is entered in the PC (claimed "first terminal device") and send the message data to the cellular phone (claimed "intermediate terminal device"). The sending of same message data from the PC to the cellular phone is a synchronization. Kobayashi teaches Fig. 9, short-range wireless communication between the PC and the cellular phone (reads on claimed "remotely located").

Kobayashi failed to teach "formatting the data to be synchronized into at least one SMS message in the intermediate terminal device". However, Jokimies teaches on Abstract – an adapter for a mobile phone to convert received data into SMS for transmitting.

Kobayashi teaches on column 14 line 26-32, transmit the received data from the intermediate terminal to a third terminal (claimed "second terminal device"). Kobayashi teaches the intermediate terminal is a cellular phone (reads on claimed "through a cellular network connection").

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It would have been obvious to one skilled at the time the invention was made to modify Kobayashi to have the formatting the data to be synchronized into at least one SMS message in the intermediate terminal device as taught by Jokimies such that the modified intermediate terminal of Kobayashi would be able to support the formatting data message into SMS to the system users.

Regarding claims 11, 12, 20, 31, 32, 36, 37, 41, 42, Kobayashi teaches on column 4 line 55-64 the PC (claimed "first terminal device") and the cellular phone (claimed "intermediate terminal") are connected via bluetooth interface (claimed "a short range communication link").

Regarding claims 43-47, Kobayashi teaches on column 14 line 25-31 the data can be transmitted and received among multiple devices (reads on claimed "from the second terminal device to the first terminal device").

3. Claims 4, 24, 35, 40, 5, 25 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kobayashi, and in view of Jokimies, Lohtia et al (US: 6560456).

Regarding claim 4, 24, 35, 40, Kobayashi in view of Jokimies as stated in claim 1 above failed to teach "SMS message center". However, Lohtia et al teach on item 16 Fig. 1 and column 12 line 20 a SMS message center.

It would have been obvious to one skilled at the time the invention was made to modify Kobayashi, Jokimies to have the SMS message center as taught by Lohtia et al such that the

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modified system of Kobayashi, Jokimies would be able to support the SMS message center to the

system users.

Regarding claims 5, 25, Kobayashi in view of Jokimies, Lohtia et al as stated in claim 4

above failed to teach "the at least one.....and the internet". However, Lohtia et al teach on item

304 Fig. 3 MSC (claimed "mobile network")., item 302 Fig. 3 WWIS Gateway, item 303 Fig. 3

Internet.

It would have been obvious to one skilled at the time the invention was made to modify

Kobayashi, Jokimies, Lohtia et al to have the at least one....and the internet as taught by Lohtia

et al such that the modified system of Kobayashi, Jokimies, Lohtia et al would be able to support

the mobile network, gateway, and Internet for transmitting SMS messages to the system users.

Claims 6, 15, 26 are rejected under 35 U.S.C. 103(a) as being unpatentable over 4.

Kobayashi, and in view of Jokimies, Alanara et al (US: 6097961).

Kobayashi in view of Jokimies as stated in claim 1 above failed to teach "the at

least.....SMS message". However, Alanara et al teach on column 15 line 24-25 a controller on

the mobile station to compress SMS messages.

It would have been obvious to one skilled at the time the invention was made to modify

Kobayashi, Jokimies to have the at least.....SMS message as taught by Alanara et al such that the

modified system of Kobayashi, Jokimies would be able to support the compressed SMS message

to the system users.

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5. Claims 10, 19, 30 are rejected under 35 U.S.C. 103(a) as being unpatentable over

Kobayashi, and in view of Jokimies.

Kobayashi in view of Jokimies as stated in claim 1 above failed to teach "the data

message.....contact information". However, "Official Notice" is taken that content of data

message is a decide choice.

It would have been obvious to one skilled at the time the invention was made to modify

Kobayashi, Jokimies to have the "the data message.....contact information" such that the

modified system of Kobayashi, Jokimies would be able to support the calendar, to-do list,

personal information, or contact information to the system users.

#### Response to Arguments

6. Applicant's arguments filed on 10/17/05 have been fully considered.

i) Applicant argues, on page 2, regarding claimed "intermediate device". As rejections

clearly stated in the Office Action, Kobayashi teaches on column 13 line 62 to column

14 line 6, message data is entered in the PC (claimed "first terminal device") and send

the message data to the cellular phone (claimed "intermediate terminal device").

Kobayashi also teaches on column 14 line 26-32, transmit the received data from the

intermediate terminal to a third terminal (claimed "second terminal device").

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Page 7

Kobayashi teaches the intermediate terminal is a cellular phone (reads on claimed "through a cellular network connection"). In particular, Kobayashi teaches on column 14 line 26-29, data can be transmitted/received among more than two devices (reads on claimed "from the intermediate terminal to the second terminal device").

#### Conclusion

- 7. The prior art made of record and not replied upon is considered pertinent to applicant's disclosure.
  - US: 4593379.
- 8. Any inquiry concerning this application and office action should be directed to the examiner Ming Chow whose telephone number is (571) 272-7535. The examiner can normally be reached on Monday through Friday from 8:30 am to 5 pm. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Fan Tsang, can be reached on (571) 272-7547. Any inquiry of a general mature or relating to the status of this application or proceeding should be directed to the Customer Service whose telephone number is (571) 272-2600. Any response to this action should be mailed to:

Application/Control Number: 09/941,851

Art Unit: 2645

Page 8

**Commissioner of Patents and Trademarks** 

Washington, D.C. 20231

Or faxed to Central FAX Number 571-273-8300.

Patent Examiner

Art Unit 2645

Ming Chow

FAN TSANG
SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 2600

Index of Claims

Application/Control No.

Applicant(s)/Patent under Reexamination

09/941,851

Examiner

Art Unit

Ming Chow

2645

Rejected — (Through numeral)
Cancelled N Non-Elected A Appeal
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## Case 6:20-cv-00490-ADA Document 66-13 Filed 04/09/21 Page 119 of 208



Application/Control No.	Applicant(s)/Patent under Reexamination
09/941,851	LARIKKA ET AL.
Examiner	Art Unit
Ming Chow	2645

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Appln. No.: 09/941,851

Amendment dated October 17, 2005 Reply to Office Action of July 15, 2005

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of (first named inventor): Atty. Docket No.:

(Amended)

004770.00538

Tapani Larikka

Serial No.: 09/941,851

Group Art Unit:

2645

Filed:

August 30, 2001

Examiner:

Chow, Ming

For:

Message Transfer From A Source

Confirmation No.:

7553

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Device Via A Mobile Terminal Device

To A Third Device

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11-28-05

## RESPONSE TO FINAL OFFICE ACTION

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I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office on October 17, 2005, to (571) 273-8300.

Signature: /Ross Dannenberg/ Ross A. Dannenberg, Reg. No. 49,024

Sir:

In response to the Office Action mailed July 15, 2005, please amend the instant application as follows:

Remarks/Arguments begin on page 2 of this paper.

If any fees are required or if an overpayment is made, the Commissioner is authorized to debit or credit our Deposit Account No. 19-0733, accordingly.

Case 6:20-cv-00490-ADA Document 66-13 Filed 04/09/21 Page 121 of 208

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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Case 6:20-cv-00490-ADA Document 66-13 Filed 04/09/21 Page 122 of 208

MAR 1 6 2006

Appln. No.: 09/941,851

Amendment dated March 16, 2006

Reply to Office Action of December 16, 2005

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of (first named inventor):

Atty. Docket No.:

004770.00538

Tapani Larikka

Serial No.: 09/941,851

Group Art Unit:

2645

Filed:

August 30, 2001

Examiner:

Chow, Ming

For:

Message Transfer From A Source

Device Via A Mobile Terminal Device

To A Third Device

Confirmation No.:

7553

# **RESPONSE TO OFFICE ACTION**

U.S. Patent and Trademark Office Customer Service Window Randolph Building 401 Dulany Street Alexandria, VA 22314

Sir:

In response to the Office Action mailed December 16, 2005, please consider the following remarks:

Remarks/Arguments begin on page 2 of this paper.

If any fees are required or if an overpayment is made, the Commissioner is authorized to debit or credit our Deposit Account No. 19-0733, accordingly.

Amendment dated March 16, 2006

Reply to Office Action of December 16, 2005

### REMARKS/ARGUMENTS

The Office Action of December 16, 2005, has been carefully reviewed and these remarks are responsive thereto. Reconsideration and allowance of the instant application are respectfully requested.

#### Rejections Under 35 U.S.C. § 103

Claims 1, 3, 13, 21, 23, 33, 38, 11, 12, 20, 31, 32, 36, 37, 41, 42, and 43-47 stand rejected under 35 U.S.C. § 103(a) as being unpatentable over Kobayashi (U.S. Pat. No. 6,633,759) in view of Jokimies (U.S. Pat. No. 5,353,328).

Independent claims 1, 13, 21, 33 and 38 all relate to, inter alia, transmitting data, for synchronization with a second device, from a first device to the second device via an intermediate device. Contrary to the Office Action's assertions, Kobayashi does not teach or suggest such a feature. The passage relied upon by the Office Action states "data can be transmitted/received among more than two devices to display data on desired software installed in another device on its own display screen." Col. 14, Il. 26-32. Nowhere in this or any passage does Kobayashi teach or suggest an intermediate device through which a first device transmits data to a second device. The Office Action alleges that Kobayashi discloses a cellular phone constituting an intermediate terminal device and that the "another device" of Kobayashi is equivalent to the second device. Applicants disagree. The cited passage merely discloses that the PC may make connections with multiple devices (one with each of the multiple devices) so that data may be displayed on the desired software of each of the multiple devices. Even assuming, arguendo, the cellular phone does transmit data to a third terminal, nowhere does Kobayashi teach or suggest that the cellular phone transmits the data to be synchronized received from the PC to the third terminal. Jokimies also does not teach or suggest an intermediate terminal device and thus, fails to cure this deficiency of Kobayashi. As such claims 1, 13, 21, 33 and 38 are allowable for at least this reason.

Additionally and as argued in the Applicants' previous response, there is no motivation to combine Kobayashi with Jokimies. Kobayshi describes a short range connection between a mobile telephone and a PC that requires establishing a dedicated and continuous wireless link between the mobile telephone and the PC. Col. 2, Il. 31-40. Jokimies, on the other hand,

Amendment dated March 16, 2006

Reply to Office Action of December 16, 2005

discloses a SMS messaging adapter. Abstract. SMS messaging is a store and forward service, wherein the short messages are not sent directly from the sender to the recipient via a continuous data connection. Applicant's Specification, ¶¶ [0007] & [0023]. Even assuming that Jokimies taught that which the examiner proffers, which Applicant maintains it does not, the suggested combination of Jokimies with Kobayashi would render Kobayashi unsatisfactory for its intended purpose. For example, Kobayashi relies upon the continuous direct wireless link to allow manipulation of software on one device by another and for the transmission of data (e.g., screen data as the result of a job) in real time. Col. 8, 11. 64-67; Col. 12, 11. 27-32; Col. 13, 11. 14-17. As such, using a SMS service, whereby the messages are stored and forwarded with no establishment of a dedicated wireless link, would interfere with the real time manipulation and transmission methods of Kobayashi. In other words, SMS service would not guarantee real time service, which, as evidenced above, is a critical component of Kobayashi. Significantly, the Federal Circuit has clearly held that if a proposed modification would render the prior art invention being modified unsatisfactory for its intended purpose, then there is no suggestion or motivation to make the proposed modification. In re Gordon, 221 U.S.P.Q. 1125 (Fed. Cir. 1984). Thus, there is no motivation or suggestion to make the proposed modification.

In addition, whereas Kobayashi is dedicated to a short-range wireless communication link, Jokimies is related to a cellular network, which is long-range. Kobayashi even stresses the short-range wireless communication requirement by disclosing, at Col. 14, ll. 26-32, that data can be transmitted/received among more than two devices "if the device is provided with a similar wireless communication function (a wireless LAN or Bluetooth System in 2.45 Ghz)." (emphasis added). Bluetooth and wireless LAN's are both known to be short-range wireless communication protocols. Thus, one of skill in the art would not be motivated to combine the teachings of Jokimies and Kobayashi in the manner suggested by the Office Action. As such, the Office Action fails to establish a prima facie case of obviousness at least these additional reasons.

Claims 3, 11, 12, 20, 23, 31, 32, 36, 37, 41, 42, and 43-47 are dependent on their respective base independent claims and are thus allowable for at least the same reasons as those claims and further in view of the novel and non-obvious features recited therein.

Amendment dated March 16, 2006

Reply to Office Action of December 16, 2005

Claims 4, 5, 24, 25, 35, and 40 stand rejected under 35 U.S.C. § 103(a) as being

unpatentable over Kobayashi in view of Jokimies and further in view of Lohtia (U.S. Pat. No.

6,560,456). These claims are allowable based on the allowability of their respective base claims,

because Lohtia does not cure the above-noted deficiencies of Kobayashi and Jokimies, and further

in view of the novel and non-obvious features recited in each of the claims.

Claims 6, 15, and 26 stand rejected under 35 U.S.C. § 103(a) as being unpatentable over

Kobayashi in view of Jokimies and further in view of Alanara (U.S. Pat. No. 6,097,961). These

claims are allowable based on the allowability of their respective base claims, because Alanara does

not cure the above-noted deficiencies of Kobayashi and Jokimies, and further in view of the

additional features recited in each claim.

Claims 10, 19, and 30 stand rejected under 35 U.S.C. § 103(a) as being unpatentable over

Kobayashi in view of Jokimies. These claims are allowable based on the allowability of their

respective base claims, and further in view of the additional novel and non-obvious features recited

in each claim.

Applicant reserves the right to further attack the motivation to combine any or all of the

cited references, but believes this is not necessary at this time based on the above-noted features

lacking from each reference.

Page 4 of 5

Amendment dated March 16, 2006

Reply to Office Action of December 16, 2005

## **CONCLUSION**

All rejections having been addressed, Applicant respectfully submits that the instant application is in condition for allowance, and respectfully solicits prompt notification of the same. However, if for any reason the Examiner believes the application is not in condition for allowance or there are any questions, the Examiner is requested to contact the undersigned at (202) 824-3156.

Respectfully submitted,

BANNER & WITCOFF, LTD.

Dated this 16 day of March, 2006 By:

Chunhsi Andy Mu, Registration No. 58,216

1001 G Street, N.W.

Washington, D.C. 20001-4597

Tel: (202) 824-3000 Fax: (202) 824-3001

### Case 6:20-cv-00490-ADA Document 66-13 Filed 04/09/21 Page 127 of 208

PTO/SB/08a (08-03)

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#### **Application Number** Filing Date 2001-08-30 **INFORMATION DISCLOSURE** First Named Inventor Tapani Larikka STATEMENT BY APPLICANT Art Unit 2645 ( Not for submission under 37 CFR 1.99) **Examiner Name** Chow, Ming

Attorney Docket Number

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	1	WO9857507	WO			1998-12-17	Telia AB					
	2	WO9847270	WO			1998-10-22	Nokia Telecommunication	ns				
	3	WO9961984	WO			1999-12-02	3COM Corporation					

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Case 6:20-cv-00490-ADA	Document 66-13 Application Number	Filed	04/09/21 Page 129 of 208 09941851
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT	First Named Inventor	Тара	ni Larikka
(Not for submission under 37 CFR 1.99)	Art Unit		2645
(1.50 15) 042/11105/01 411401 01 01 11 1100/	Examiner Name	Chov	v, Ming
	Attorney Docket Number	er	004770.00538

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<b>√</b>	See attached cer	rtification statement.									
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Nan	ne/Print	Ross A. Dannenberg	Registration Number	49024							
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

## **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

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- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
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- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

#### PATENT APPLICATION

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Tapani Larikka et al.

Serial No.: 09/941,851

Filed: August 30, 2001

For: Message Transfer From A

Source Device Via A Mobile Terminal Device To A Third

Device

Group Art Unit: 2645

Examiner: Chow, Ming

Atty. Dkt. No. 004770.00538

Confirmation No.: 7553

### **IDS CERTIFICATION STATEMENT**

U.S. Patent and Trademark Office Customer Service Window Randolph Building 401 Dulany Street Alexandria, VA 22314

Sir:

This Certification Statement is being filed concurrently with an Information Disclosure Statement via EFS-Web. The concurrently filed IDS is not intended as an admission that any submitted document or reference constitutes prior art against the claims of the present application. Applicant(s) do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Applicant(s) provide the following certification(s) for the concurrently filed IDS, if checked:
 Pursuant to 37 C.F.R. § 1.97 (b) (1), the undersigned states that the present information disclosure statement is being filed within three months of the filing date of a national application other than a continued prosecution application under 37 C.F.R. § 1.53 (d).
 Pursuant to 37 C.F.R. § 1.97 (b) (2), the undersigned states that the present information disclosure statement is being filed within three months of the date of

entry of a national stage as set forth in 37 C.F.R. § 1.491 in an international
application.
Pursuant to 37 C.F.R. § 1.97 (b) (3), the undersigned states that the present
information disclosure statement is being filed before the mailing of a first Office
action.
Pursuant to 37 C.F.R. § 1.97 (b) (4), the undersigned states that the present
information disclosure statement is being filed before the mailing of a first Office
action after the filing of a request for continued examination under 37 C.F.R. §
1.114.
Pursuant to 37 C.F.R. § 1.97 (e) (1), the undersigned states that each item of
information contained in the information disclosure statement was first cited in a
communication from a foreign patent office in a counterpart foreign application not
more than three months prior to the filing of this information disclosure statement.
Pursuant to 37 C.F.R. § 1.97 (e) (2), the undersigned states that no item of
information contained in the information disclosure statement was cited in a
communication from a foreign patent office in a counterpart foreign application, and
to the knowledge of the undersigned, upon reasonable inquiry, no item of
information contained in the information disclosure statement was known to any
individual designated in §1.56(c) more than three months prior to the filing of the
information disclosure statement.
Pursuant to 37 C.F.R. § 1.704 (d), the undersigned states that each item of
information contained in the information disclosure statement was cited in a
communication from a foreign patent office in a counterpart foreign application and
that the communication was not received by any individual designated in § 1.56 (c)
more than thirty days prior to the filing of this information disclosure statement.
The undersigned hereby authorizes the Office to charge the fee pursuant to 37 C.F.R.
§ 1.17 (p) to our Deposit Account 19-0733.
OTHER REMARKS:

Consideration of the information identified in the concurrently filed IDS is respectfully requested, with citation thereto on the face of the issued patent as applicable.

Respectfully submitted,

**BANNER & WITCOFF, LTD.** 

Dated: April 24, 2006 By: /Ross Dannenberg/

Ross A. Dannenberg Registration No. 49,024 1001 G. Street, N.W.

Washington, D.C. 20001-4597

(202) 824-3000

RAD/mmd

## Case 6:20-cv-00490-ADA Document 66-13 Filed 04/09/21 Page 134 of 208



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P.B.5818 - Patentlaan 2 2280 HV Rijswijk (ZH) (070) 3 40 20 40 FAX (070) 3 40 30 16 Europäisches Patentamt European Patent Office Office européen des brevets

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Date

27.03.06

Reference PJP/45528EP1	,	Application No./Patent No. 02770138.2 - 2412	PCT/IB0203440			
Applicant/Proprietor Nokia Corporation				육구.	-	

#### COMMUNICATION

The European Patent Office herewith transmits as an enclosure the supplementary European search report under Article 157(2)(a) EPC for the above-mentioned European patent application.

If applicable, copies of the documents cited in the European search report are attached.

Additional set(s) of copies of the documents cited in the European search report is (are) enclosed as well.

#### Refund of the search fee

If applicable under Article 10 Rules relating to fees, a separate communication from the Receiving Section on the refund of the search fee will be sent later.





## SUPPLEMENTARY EUROPEAN SEARCH REPORT

Application Number EP 02 77 0138

Category	Citation of document with in of relevant pass	ndication, where appropriate, ages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (IPC)
Y	WO 98/57507 A (TELI 17 December 1998 (1 * abstract; figure	A AB) 998-12-17)	1-50	H04Q7/00 G06F17/30
Υ	SYNCML CONSORTIUM: version 1.0" 7 December 2000 (20 XP002217356 * page 7, paragraph	· · · · ·	1-50	
	paragraph 1.4 * * page 16, paragrap * page 33, paragrap paragraph 5.1.1 * * page 45, paragrap paragraph 7.6 *	h 2.9 * h 5 — page 35,		
Υ .	1.0" 7 December 2000 (20 REPRESENTATION PROT	ion Protocol, version 00-12-07), SYNCML 0COL, PAGE(S) 1-104,	1,27,33, 50	,
	<pre>XP002217062 * page 11, paragrap paragraph 4.7 * * page 18, paragrap paragraph 4.18 *</pre>	•		TECHNICAL FIELDS SEARCHED (IPC)  H04L G06F H04M
Υ	WO 98/47270 A (NOKI OY; TUOMINEN, JOONA 22 October 1998 (19 * abstract; figures * page 11, line 3 -	98-10-22) 1,4 *	1,27,33,	
Α	EP 1 039 768 A (SAM LTD) 27 September 2 * abstract; figures * column 8, line 14	2,3 *	1-50	
		-/		
	The supplementary search reportset of claims valid and available	rt has been based on the last at the start of the search.		
	Place of search	Date of completion of the search	<del></del>	Examiner
	Berlin	20 March 2006	Dan	ielidis, S
X : parti Y : parti docu A : tech O : non	ATEGORY OF CITED DOCUMENTS icularly relevant if taken alone icularly relevant if combined with anot iment of the same category inological background—written disclosure mediate document	E : earlier patent do after the filing d her D : document cited L : document cited	ocument, but publi ate in the application for other reasons	ished on, or



## **SUPPLEMENTARY EUROPEAN SEARCH REPORT**

**Application Number** EP 02 77 0138

ategory	Citation of document with in of relevant passa		Relevant to claim	CLASSIFICATION OF THE APPLICATION (IPC)		
	WO 99/61984 A (3COM CORPORATION) 2 December 1999 (1999-12-02) * abstract; figures 1-5 * * page 129, line 1 - line 22 *	1-50				
- :	WO 00/57339 A (KONII ELECTRONICS N.V) 28 September 2000 (2 * abstract; claims	2000-09-28)	1-50			
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				TECHNICAL FIELDS SEARCHED (IPC)		
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٠.	The supplementary search report set of claims valid and available					
	Place of search Berlin	Date of completion of the s 20 March 200		Examiner		
X : part Y : part doc	ATEGORY OF CITED DOCUMENTS ticularly relevant if taken alone ticularly relevant if combined with anot ument of the same category	E : earlier p after the ner D : docume	pr principle underlying the patent document, but publication date ent cited in the application and cited for other reasons	invention ished on, or		
A : tecl	hnological background n-written disclosure ermediate document	***************************************	& : member of the same patent family, corresponding			

#### ANNEX TO THE EUROPEAN SEARCH REPORT ON EUROPEAN PATENT APPLICATION NO.

EP 02 77 0138

This annex lists the patent family members relating to the patent documents cited in the above-mentioned European search report. The members are as contained in the European Patent Office EDP file on The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

20-03-2006

-	Patent docume ed in search re		Publication date		Patent family member(s)		Publication date
WO	9857507	Α	17-12-1998	SE SE	514259 9702192		29-01-2001 10-12-1998
WO	9847270	A	22-10-1998	AT AU CA CN DE EP FI JP ZA	6836598 2287002 1256847 69832057 0976270	A1 A D1 A2 A T	15-11-2005 11-11-1998 22-10-1998 14-06-2000 01-12-2005 02-02-2000 17-10-1998 23-10-2001 22-10-1998
EP	1039768	A	27-09-2000	CN KR US US	1283938 2000060815 2005215271 6920331	A A1	14-02-2001 16-10-2000 29-09-2005 19-07-2005
WO	9961984	A	02-12-1999	AU CA CA EP EP GB GB	4210099 4407899 2333033 2333055 1088421 1092186 2353923 2357222 9962268	A2 A1 A	13-12-1999 13-12-1999 02-12-1999 02-12-1999 04-04-2001 18-04-2001 07-03-2001 13-06-2001 02-12-1999
WO	0057339	Α	28-09-2000	EP JP	1145179 2003526136		17-10-2001 02-09-2003

Case 6:20-cv-00490-ADA Document 66-13 Filed 04/09/21 Page 138 of 208  Electronic Acknowledgement Receipt						
EFS ID:	1029602					
Application Number:	09941851					
Confirmation Number:	7553					
Title of Invention:	Message transfer from a source device via a mobile terminal device to a third device					
First Named Inventor:	Tapani Larikka					
Customer Number:	22907					
Filer:	Ross Alan Dannenberg/Marilyn Davis					
Filer Authorized By:	Ross Alan Dannenberg					
Attorney Docket Number:	017.40169X00					
Receipt Date:	24-APR-2006					
Filing Date:	30-AUG-2001					
Time Stamp:	15:51:45					
Application Type:	Utility					
International Application Number:						

# Payment information:

Submitted with Payment	no
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# File Listing:

Document Number	Document Description	File Name	File Size(Bytes)	Multi Part	Pages
1	Information Disclosure Statement (IDS) Filed	538-IDS.pdf	964760	no	4

Information:								
for autoloading Message if you be made avail	A U.S. Patent Number Citation or a U.S. Publication Number Citation is required in the Information Disclosure Statement (IDS) form for autoloading of data into USPTO systems. You may remove the form to add the required data in order to correct the Informational Message if you are citing U.S. References. If you chose not to include U.S. References, the image of the form will be processed and be made available within the Image File Wrapper (IFW) system. However, no data will be extracted from this form. Any additional data such as Foreign Patent Documents or Non Patent Literature will be manually reviewed and keyed into USPTO systems.							
2	Miscellaneous Incoming Letter	00538-IDSCertificationState ment.pdf	75311	no	3			
Warnings:								
Information	:							
3	Miscellaneous Incoming Letter	SearchReport.pdf	134106	no	4			
Warnings:								
Information	:							
4	NPL Documents	SFX9F1.pdf	2947767	no	62			
Warnings:		,						
Information	:							
5	NPL Documents	SFXA5A.pdf	7316979	no	104			
Warnings:								
Information	:							
		Total Files Size (in bytes):	11	438923				

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

Warnings:

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

# **EAST Search History**

Ref #	Hits	Search Query	DBs	Default Operat or	Plural s	Time Stamp
S1	277	(synchronization adj markup syncml)	US-PGPU B; USPAT; USOCR; EPO; JPO; DERWEN T; IBM_TDB	OR	ON	2006/05/18 11:08
S2	31	S1 with (bluetooth wireless adj lan wlan)	US-PGPU B; USPAT; USOCR; EPO; JPO; DERWEN T; IBM_TDB	OR	ON	2006/05/18 08:38
S4	64	S1 and (sms short adj message) and (bluetooth wireless adj lan wlan short adj range)	US-PGPU B; USPAT; USOCR; EPO; JPO; DERWEN T; IBM_TDB	OR	ON	2006/05/18 11:08
S9	277	(synchronization adj markup syncml)	US-PGPU B; USPAT; USOCR; EPO; JPO; DERWEN T; IBM_TDB	OR	ON	2006/05/18 11:43

# **EAST Search History**

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S10	110	S9 and (sms short adj message)	US-PGPU B; USPAT; USOCR; EPO; JPO; DERWEN T; IBM_TDB	OR	ON	2006/05/18 11:41
S11	104	S9 and (sms short adj message adj service)	US-PGPU B; USPAT; USOCR; EPO; JPO; DERWEN T; IBM_TDB	OR	ON	2006/05/18 11:41
S13	47	(synchronization adj markup syncml)	USPAT; USOCR; EPO; JPO; DERWEN T; IBM_TDB	OR	ON	2006/05/18 13:52
S15	14	vcal same vcard	US-PGPU B; USPAT; USOCR; EPO; JPO; DERWEN T; IBM_TDB	OR	ON	2006/05/18 13:53







## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	F	ILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.			
09/941,851		08/30/2001	Tapani Larikka	017.40169X00	7553			
22907	7590	05/30/2006		EXAM	INER			
	BANNER & WITCOFF 1001 G STREET N W				GAUTHIER, GERALD			
	SUITE 1100			ART UNIT	PAPER NUMBER			
WASHING	ron, dc	20001		2614	·			
				DATE MAILED: 05/30/200	6			

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)	
Office Action Summary	09/941,851	LARIKKA ET AL.	
	Examiner	Art Unit	
	Gerald Gauthier	2614	
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet w	ith the correspondence ad	dress
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period w  - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNION of the community o	CATION.  reply be timely filed  ITHS from the mailing date of this or BANDONED (35 U.S.C. § 133).	,
Status			
1) Responsive to communication(s) filed on 24 Ap	oril 2006.		
2a) This action is <b>FINAL</b> . 2b) ☑ This	action is non-final.		
3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is			
closed in accordance with the practice under E	x parte Quayle, 1935 C.D	). 11, 453 O.G. 213.	
Disposition of Claims			
4)⊠ Claim(s) <u>1-33,35-38 and 40-47</u> is/are pending in the application.			
4a) Of the above claim(s) is/are withdrawn from consideration.			
5) Claim(s) is/are allowed.			
6) Claim(s) <u>1-33,35-38 and 40-47</u> is/are rejected.			
7) Claim(s) is/are objected to.			
8) Claim(s) are subject to restriction and/or	r election requirement.		
Application Papers			
9)☐ The specification is objected to by the Examine	r.		
10) The drawing(s) filed on is/are: a) acce	epted or b) objected to	by the Examiner.	
Applicant may not request that any objection to the	drawing(s) be held in abeyar	nce. See 37 CFR 1.85(a).	
Replacement drawing sheet(s) including the correcti		• •	· ·
11) The oath or declaration is objected to by the Ex	aminer. Note the attached	d Office Action or form PT	O-152.
Priority under 35 U.S.C. § 119			
12) Acknowledgment is made of a claim for foreign a) All b) Some * c) None of:  1. Certified copies of the priority documents		119(a)-(d) or (f).	
<ul> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No</li> </ul>			
3. Copies of the certified copies of the priority documents have been received in this National Stage			
application from the International Bureau			
* See the attached detailed Office action for a list of	of the certified copies not	received.	
Attachment(s)			
1) Notice of References Cited (PTO-892)	4) Interview S	Summary (PTO-413)	
2) Notice of Draftsperson's Patent Drawing Review (PTO-948)		s)/Mail Date	
3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)	E) Aladian -Et-	nformal Patent Application (PTC	1.450)

Application/Control Number: 09/941,851 Page 2

Art Unit: 2614

#### **DETAILED ACTION**

## Claim Objections

1. Claim(s) 35, 37 and 42 are objected to because of the following informalities: claim(s) 35 and 37, line1 "claim 34" should be "claim 33".

Claim(s) 42, line 1 "claim 39" should be "claim 38"). Correction is required.

## Claim Rejections - 35 USC § 103

- 2. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 3. The factual inquiries set forth in *Graham* v. *John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:
  - 1. Determining the scope and contents of the prior art.
  - 2. Ascertaining the differences between the prior art and the claims at issue.
  - 3. Resolving the level of ordinary skill in the pertinent art.
  - 4. Considering objective evidence present in the application indicating obviousness or nonobviousness.
- 4. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation

Application/Control Number: 09/941,851

Art Unit: 2614

under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

5. Claim(s) 1-7, 13-16, 21-27, 33, 38, 11, 12, 20, 31, 32, 36, 37, 41, 42 and 43-47 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kobayashi (US 6,633,759) in view of Lewontin (US 2002/0087596 A1).

Regarding claims 1, 3, 13, 21, 23, 33 and 38, Kobayashi discloses on column 13 line 62 to column 14 line 6, message data is entered in the PC (claimed "first terminal device") and send the message data to the cellular phone (claimed "intermediate terminal device"). The sending of same message data from the PC to the cellular phone is synchronization. Kobayashi discloses Fig. 9, short-range wireless communication between the PC and the cellular phone (reads on claimed "remotely located").

Kobayashi fails to disclose "formatting the data to be synchronized into at least one SMS message in the intermediate terminal device".

However, Lewontin teaches (paragraphs 0025 and 0098), transmit the received data from the intermediate terminal to a third terminal. Kobayashi discloses the intermediate terminal is a cellular phone (reads on claimed "through a cellular network connection").

It would have been obvious to one skilled at the time the invention was made to modify Kobayashi to have the formatting the data to be synchronized into at least one

Application/Control Number: 09/941,851

Art Unit: 2614

SMS message in the intermediate terminal device as taught by Lewontin such that the modified intermediate terminal of Kobayashi would be able to support the formatting data message into SMS to the system users.

Regarding claim(s) 2, 14 and 22 Lewontin teaches a method, wherein formatting the data message comprises formatting the data in a SyncML format (paragraph 0098).

Regarding claim(s) 4-6, 15, 24-26, 35 and 40 Lewontin teaches a method, wherein the at least one SMS message is transmitted via a mobile network including an SMS message center (paragraph 0025).

Regarding claim(s) 7, 16 and 27 Lewontin teaches a method, wherein the compressed SMS message comprises a WBXML encoded message (paragraph 0031).

Regarding claims 11, 12, 20, 31, 32, 36, 37, 41 and 42, Kobayashi discloses on column 4 line 55-64 the PC (claimed "first terminal device") and the cellular phone (claimed "intermediate terminal") are connected via Bluetooth interface (claimed "a short range communication link").

Regarding claims 43-47, Kobayashi discloses on column 14 lines 25-31 the data can be transmitted and received among multiple devices (reads on claimed "from the second terminal device to the first terminal device").

Application/Control Number: 09/941,851

Art Unit: 2614

6. Claims 4, 24, 35, 40, 5 and 25 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kobayashi, and in view of Lewontin as applied to claim(s) 3 above and further in view of, Lohtia et al (US 6,560,456).

Regarding claim 4, 24, 35 and 40, Kobayashi in combination with Lewontin as stated in claim 3 above fail to disclose "SMS message center".

However, Lohtia teaches on item 16 Fig. 1 and column 12 line 20 a SMS message center.

It would have been obvious to one skilled at the time the invention was made to modify in combination with Lewontin to have the SMS message center as taught by Lohtia such that the modified system of in combination with Lewontin would be able to support the SMS message center to the system users.

Regarding claims 5 and 25, Kobayashi in combination with Lewontin and Lohtia as stated in claim 4 above fail to disclose "the at least one....and the internet".

However, Lohtia teaches on item 304 Fig. 3 MSC (claimed "mobile network")... item 302 Fig. 3 WWIS Gateway, item 303 Fig. 3 Internet.

It would have been obvious to one skilled at the time the invention was made to modify Kobayashi in combination with Lewontin and Lohtia to have the at least one.....and the internet as taught by Lohtia such that the modified system of Kobayashi in combination with Lewontin and Lohtia would be able to support the mobile network. gateway, and Internet for transmitting SMS messages to the system users.

Application/Control Number: 09/941,851

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7. Claims 6, 15 and 26 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kobayashi in combination with Lewontin as applied to claim(s) 1 above and further in view of Alanara et al (US 6,097,961).

Kobayashi in combination with Lewontin as stated in claim 1 above fail to disclose "the at least.....SMS message".

However, Alanara teaches on column 15 lines 24-25 a controller on the mobile station to compress SMS messages.

It would have been obvious to one skilled at the time the invention was made to modify Kobayashi in combination with Lewontin to have the at least.....SMS message as taught by Alanara such that the modified system of Kobayashi in combination with Lewontin would be able to support the compressed SMS message to the system users.

8. Claims 8-10, 17-19 and 28-30 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kobayashi in combination with Lewontin as applied to claim(s) 1 above and further in view of Winarski (US 2002/0123307 A1).

Regarding claim(s) 8-10, 17-19 and 28-30 Kobayashi in combination with Lewontin as stated in claim 1 above fail to disclose "data formatted in a SyncML format comprises one of two MIME formats".

However, Winarski teaches a method, wherein the data formatted in a SyncML format comprises one of two MIME formats (paragraph 0035).

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It would have been obvious to one skilled at the time the invention was made to modify Kobayashi in combination with Lewontin to have the at least.....SMS message as taught by Winarski such that the modified system of Kobayashi in combination with Lewontin would be able to support the compressed SMS message to the system users.

9. Claims 10, 19 and 30 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kobayashi in combination with Lewontin.

Kobayashi in combination with Lewontin as stated in claim 1 above fail to disclose "the data message.....contact information".

However, "Official Notice" is taken that content of data message is a decide choice.

It would have been obvious to one skilled at the time the invention was made to modify Kobayashi in combination with Lewontin to have the "the data message.....contact information" such that the modified system of Kobayashi in combination with Lewontin would be able to support the calendar, to-do list, personal information, or contact information to the system users.

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Page 8

Response to Arguments

10. Applicant's arguments with respect to claim(s) 1-33, 35-38 and 40-47 have been

considered but are moot in view of the new ground(s) of rejection.

Conclusion

11. Any inquiry concerning this communication or earlier communications from the

examiner should be directed to Gerald Gauthier whose telephone number is (571) 272-

7539. The examiner can normally be reached on 8:00 AM to 4:30 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Fan Tsang can be reached on (571) 272-7547. The fax phone number for

the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the

Patent Application Information Retrieval (PAIR) system. Status information for

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Status information for unpublished applications is available through Private PAIR only.

For more information about the PAIR system, see http://pair-direct.uspto.gov. Should

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GERALD GAUTHIER

PATENT EXAMINER

GG

May 18, 2006

Gerald Gauthier Examiner

Art Unit 2614

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					Application/ 09/941,851	Control No.	Reexamination			
		Notice of Reference	s Cited				LARIKKA ET AL.	ETAL.		
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Approved for use through 07/31/2008. OMB 0651-0031
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INFORMATION DISCLOSURE	First Named Inventor Tapa		apani Larikka	
STATEMENT BY APPLICANT ( Not for submission under 37 CFR 1.99)	Art Unit		2645	
	Examiner Name	Chov	v, Ming	
	Attorney Docket Number	er	004770.00538	

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GG	2	WO9847270	WO			1998-10-22	Nokia Telecommunication	ıs			
GG	3	WO9961984	WO			1999-12-02	3COM Corporation				

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( Not for submission under 37 CFR 1.99)

Application Number	· · · ·	09941851		
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First Named Inventor Tapa		ni Larikka		
Art Unit		2645		
Examiner Name	Chov	v, Ming		
Attorney Docket Number		004770.00538		

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GG	2	SyncML Sync Protocol, version 1.0, 12/7/00, pages 1-60							
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Application/Control No.	Applicant(s)/Patent under Reexamination					
09/941,851	LARIKKA ET AL.					
Examiner	Art Unit					
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**CONFIRMATION NO. 7553** 

SERIAL NUMBER 09/941,851				GROUP ART UNIT 2614		ATTORNEY DOCKET NO. 017.40169X00				
APPLICANTS							_			
Tapani Larikka,	Vesilahti, FINLAND;									
Jari Juopperi, H	elsinki, FINLAND;									
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Message transfer from	Message transfer from a source device via a mobile terminal device to a third device									

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**Index of Claims** 

Application/Control No.	Applicant(s)/Patent under Reexamination  LARIKKA ET AL.					
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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of (first named inventor): Atty. Docket No.: 004770.00538

Tapani Larikka

Serial No.: 09/941,851 Group Art Unit: 2614

Filed: August 30, 2001 Examiner: Gauthier, Gerald

For: Message Transfer From A Source Confirmation No.: 7553

Device Via A Mobile Terminal Device

To A Third Device

# **AMENDMENT AND REQUEST FOR RECONSIDERATION**

U.S. Patent and Trademark Office Customer Service Window Randolph Building 401 Dulany Street Alexandria, VA 22314

Sir:

In response to the Office Action mailed May 30, 2006, Applicants respectfully submit the following:

**Amendments to the Claims** are reflected in the Listing of Claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 9 of this paper.

If any fees are required or if an overpayment is made, the Commissioner is authorized to debit or credit our Deposit Account No. 19-0733, accordingly.

Amendment dated September 7, 2006 Reply to Office Action of May 30, 2006

This listing of claims will replace all prior versions, and listing, of claims in the application:

### **Listing of Claims**;

1. (Previously Presented) A method for data synchronization between a first terminal device and a second, remotely located, terminal device via an intermediate terminal device, the method comprising:

obtaining, at the first terminal device, data to be synchronized with the second remotely located terminal device;

transmitting the data from the first terminal device to the intermediate terminal device through a short-range connection;

formatting the data to be synchronized into at least one SMS (Short Message Service) message in the intermediate terminal device; and

transmitting the at least one SMS message from the intermediate terminal device to the second remote located terminal device through cellular network connection.

- 2. (Original) The method of claim 1, wherein formatting the data message comprises formatting the data in a SyncML format.
- 3. (Original) The method of claim 1, wherein the intermediate terminal device comprises a mobile terminal device.
- 4. (Original) The method of claim 3, wherein the at least one SMS message is transmitted via a mobile network including an SMS message center.
- 5. (Previously Presented) The method of claim 4, wherein the at least one SMS message is transmitted from the intermediate terminal device to the second, remotely located, terminal device via the mobile network and a gateway and the Internet.
- 6. (Original) The method of claim 1, wherein the at least one SMS message comprises a compressed SMS message.

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- 7. (Original) The method of claim 6, wherein the compressed SMS message comprises a WBXML (Wireless Application Protocol Binary Extensible Markup Language) encoded message.
- 8. (Original) The method of claim 2, wherein the data formatted in a SyncML format comprises one of two MIME (Multipurpose Internet Mail Extensions) formats.
- 9. (Original) The method of claim 8, wherein the two MIME formats comprise veal and veard formats.
- 10. (Original) The method of claim 1, wherein the data message comprises one of a calendar, a to-do list, personal information, and contact information.
- 11. (Original) The method of claim 1, wherein the data message is transferred from the first terminal device to the intermediate terminal device via a short range communication link.
- 12. (Original) The method of claim 11, wherein the short range communication link comprises one of an IR (Infrared) or Bluetooth communication link.
  - 13. (Previously Presented) A mobile terminal device comprising:
- a data message receiver to receive data from a first terminal device, which data is to be synchronized with a second, remotely located, terminal device via a short range communication link;
- a formatter to format the received data into at least one SMS (Short Message Service) message; and
- a transmitter to transmit the at least one SMS message to the second, remotely located, terminal device through a cellular network connection.

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- 14. (Original) The device of claim 13, wherein the formatter formats the data in a SyncML format.
- 15. (Original) The device of claim 13, wherein the at least one SMS message formatted by the formatter comprises a compressed SMS message.
- 16. (Original) The device of claim 15, wherein the compressed SMS message formatted by the formatter comprises a WBXML (Wireless Application Protocol Binary Extensible Markup Language) encoded message.
- 17. (Original) The device of claim 13, wherein the data formatted by the formatter in a SyncML format comprises one of two MIME (Multipurpose Internet Mail Extensions) formats.
- 18. (Original) The device of claim 17, wherein the two MIME formats formatted by the formatter comprise vcal and vcard.
- 19. (Original) The device of claim 13, wherein the data comprises one of a calendar, a to-do list, a personal information, and contact information.
- 20. (Original) The device of claim 13, wherein the data receiver receives data messages via one of an IR (Infrared) or Bluetooth communication link.
- 21. (Previously Presented) A program storage device, readable by machine, tangibly embodying a program of instructions executable by machine to perform a method of synchronization between a first terminal device and a second, remotely located, terminal device via an intermediate terminal device, the method comprising:

obtaining, at the first terminal device, data to be synchronized with the second remote located terminal device;

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transmitting the data from the first terminal device to the intermediate terminal device through a short-range connection;

formatting the data to be synchronized into at least one SMS (Short Message Service) message in the intermediate terminal device; and

transmitting the at least one SMS message from the intermediate terminal device to the second remote located terminal device through a cellular network connection.

- 22. (Original) The device of claim 21, wherein formatting the data message comprises formatting the data in a SyncML format.
- 23. (Original) The device of claim 21, wherein the intermediate terminal device comprises a mobile terminal device.
- 24. (Original) The device of claim 23, wherein the at least one SMS message is transmitted via a mobile network including an SMS message center.
- 25. (Previously Presented) The device of claim 24, wherein the at least one SMS message is transmitted from the intermediate terminal device to the second remotely located terminal device via the mobile network and a gateway and the Internet.
- 26. (Original) The device of claim 21, wherein the at least one SMS message comprises a compressed SMS message.
- 27. (Original) The device of claim 26, wherein the compressed SMS message comprises a WBXML (Wireless Application Protocol Binary Extensible Markup Language) encoded message.
- 28. (Original) The device of claim 22, wherein the data formatted in a SyncML format comprises one of two MIME (Multipurpose Internet Mail Extensions) format.

Amendment dated September 7, 2006 Reply to Office Action of May 30, 2006

- 29. (Original) The device of claim 28, wherein the two MIME formats comprise veal and veard formats.
- 30. (Original) The device of claim 21, wherein the data message comprises one of a calendar, a to-do list, personal information, and contact information.
- 31. (Original) The device of claim 21, wherein the data message is transferred from the first terminal device to the intermediate terminal device via a short range communication link.
- 32. (Original) The device of claim 31, wherein the short range communication link comprises one of an IR (Infrared) or Bluetooth communication link.
- 33. (Previously Presented) A method of data synchronization between a first terminal device and a, remote located, second terminal device via an intermediate terminal device, the method comprising:

obtaining, at the first terminal device, data to be synchronized with the second remotely located terminal device;

transmitting the data from the first terminal device to the intermediate terminal device through a short-range connection;

formatting the data into at least one SMS (Short Message Service) message in the intermediate terminal device;

transmitting the least one SMS message from the intermediate terminal device to a message center; and

transmitting the at least one message from the message center to the second remote located terminal device through a cellular network connection.

34. (Canceled).

Amendment dated September 7, 2006 Reply to Office Action of May 30, 2006

- 35. (Currently Amended) The method of elaim 34claim 33, wherein the message center comprises an SMS message center.
- 36. (Original) The method of claim 33, wherein the data is transmitted from the first terminal device to the intermediate terminal device via a short range communication link.
- 37. (Currently Amended) The method of <u>claim 34claim 36</u>, wherein the short range communication link comprises one of either an IR (Infrared) or Bluetooth communication link.
- 38. (Previously Presented) A program storage device, readable by machine, tangibly embodying a program of instructions executable by machine to perform a method of data synchronization between first and second remote locate terminal devices via an intermediate terminal device, the method comprising:

obtaining, at the first terminal device, data to be synchronized with the second remotely located terminal device;

transmitting the data from the first terminal device to the intermediate terminal device through short-range connection;

formatting the data into at least one SMS (Short Message Service) message in the intermediate terminal device;

transmitting the least one message from the intermediate terminal device to a message center; and

transmitting the at least one message from the message center to the second remotely located terminal device through a cellular or network connection.

- 39. (Canceled).
- 40. (Original) The device of claim 38, wherein the message center comprises an SMS message center.

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- 41. (Original) The device of claim 38, wherein the data is transmitted from the first terminal device to the intermediate terminal device via a short range communication link.
- 42. (Currently Amended) The device of <u>claim 39claim 41</u>, wherein the short range communication link comprises one of either an IR (Infrared) or Bluetooth communication link.
- 43. (Original) The method of claim 1, further comprising transmitting at least one other SMS message from the second terminal device to the first terminal device via the intermediate terminal device.
- 44. (Original) The method of claim 13, further comprising a receiver to receive at least one other SMS message from the another terminal device and a data message transmitter to transmit the at least one other SMS message to the first terminal device.
- 45. (Original) The device of claim 21, further comprising transmitting at least one other SMS message from the second terminal device of the first terminal device via the intermediate terminal device.
- 46. (Original) The method of claim 33, further comprising transmitting other data from the second terminal device to the first terminal device via the intermediate terminal device.
- 47. (Original) The device of claim 38, further comprising transmitting other data from the second terminal device to the first terminal device via the intermediate terminal device.

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### **REMARKS/ARGUMENTS**

The Office Action of May 30, 2006, has been carefully reviewed and these remarks are responsive thereto. Claims 35, 37 and 42 have been amended. No new matter has been added. Claims 1-33, 35-38, and 40-47 remain pending after entry of the present amendment. Reconsideration and allowance of the instant application are respectfully requested.

### Claim Objections

Claims 35, 37 and 42 are objected to because of claim dependency informalities. Applicants have amendments claims 35, 37 and 42 to revise the claim dependencies. As such, these objections are rendered moot.

## Statement of Common Ownership

The present application and the Lewontin reference (U.S. Patent Pub. No. 2002/0087596 A1) were, at the time the invention was made, owned by, or subject to an obligation of assignment to Nokia Corporation or a wholly owned subsidiary thereof (i.e., Nokia Inc.).

#### Rejections Under 35 U.S.C. § 103

Claims 1-7, 11-16, 21-27, 31-33, 36-38 and 41-47 stand rejected under 35 U.S.C. § 103(a) as being unpatentable over Kobayashi (U.S. Pat. No. 6,633,759) in view of Lewontin. Claims 4, 5, 24, 25, 35 and 40 stand rejected under 35 U.S.C. §103(a) as being unpatentable over Kobayashi in view of Lewontin and further in view of Lohtia *et al.* (U.S. Patent No. 6,560,456, hereinafter "Lohtia"). Claims 6, 15 and 26 stand rejected under 35 U.S.C. §103(a) as being unpatentable over Kobayashi in combination with Lewontin and further in view of Alanara *et al.* (U.S. Patent No. 6,097,961, hereinafter "Alanara"). Claims 8-10, 17-19 and 28-30 stand rejected under 35 U.S.C. §103(a) as being unpatentable over Kobayashi in combination with Lewontin and further in view of Winarski (U.S. Patent Pub. No. 2002/0123307). Claims 10, 19 and 30 stand rejected under 35 U.S.C. §103(a) as being unpatentable over Kobayashi in combination with Lewontin. The above Statement of Common Ownership removes Lewontin as an applicable reference for a rejection under 35 U.S.C. §103(a), and these rejections are therefore respectfully traversed for at least this reason.

Amendment dated September 7, 2006 Reply to Office Action of May 30, 2006

Further, as Applicants have discussed in previous responses, there is no motivation to combine Kobayashi with SMS messaging services such as those described in Lohtia, Alanara and Winarski. Kobayshi describes a short range connection between a mobile telephone and a PC that requires establishing a dedicated and continuous wireless link between the mobile telephone and the PC. Col. 2, Il. 31-40. SMS messaging, on the other hand, is a store and forward service, wherein the short messages are not sent directly from the sender to the recipient via a continuous data connection. Applicants' Specification, ¶ [0007] & [0023]. As such, using SMS messaging with Kobayashi would render Kobayashi unsatisfactory for its intended purpose. In particular, Kobayashi relies upon a continuous direct wireless link to allow manipulation of software on one device by another and for the transmission of data (e.g., screen data as the result of a job) in real time. Col. 8, Il. 64-67; Col. 12, Il. 27-32; Col. 13, Il. 14-17. As such, using a SMS service, whereby the messages are stored and forwarded with no establishment of a dedicated wireless link, would hinder the real time manipulation and transmission methods of Kobayashi. In other words, SMS service would not guarantee real time service, which, as evidenced above, is a critical component of Kobayashi. Significantly, the Federal Circuit has clearly held that if a proposed modification would render the prior art invention being modified unsatisfactory for its intended purpose, then there is no suggestion or motivation to make the proposed modification. In re Gordon, 221 U.S.P.Q. 1125 (Fed. Cir. 1984). Thus, there is no motivation or suggestion to make the proposed modification.

In addition, independent claims 1, 13, 21 and 33 disclose transmitting the SMS message from a message center to a second terminal device through a *cellular network connection*. Contrary to the Office Action's assertions, Kobayashi does not teach or suggest transmitting data over a cellular network connection. At most, Kobayashi discloses that a device may be a cellular phone. Col. 14, Il. 7-11. In particular, Kobayashi specifically teaches transmitting data over a *short-range wireless* communication link using the cellular phone, not a cellular network connection. Kobayashi even emphasizes the short-range wireless communication requirement by disclosing, at Col. 14, Il. 26-32, that data can be transmitted/received among more than two devices "*if* the device is provided with a similar wireless communication function (*a wireless LAN or Bluetooth System in 2.45 Ghz*)." (emphasis added). Bluetooth and wireless LAN's are both known to be short-range wireless communication protocols. As such, not only does

Amendment dated September 7, 2006 Reply to Office Action of May 30, 2006

Kobayashi not teach or suggest transmitting data over a cellular network connection, Kobayashi, in fact, teaches away from using cellular network connections to transmit data such as an SMS message. As such, independent claims 1, 13, 21 and 33 are allowable for these additional reasons.

Claims 3, 11, 12, 20, 23, 31, 32, 36, 37, 41, 42, and 43-47 are dependent on their respective base independent claims and are thus allowable for at least the same reasons as those claims and further in view of the novel and non-obvious features recited therein.

Applicants reserve the right to further attack the motivation to combine any or all of the cited references, but believe this is not necessary at this time based on the above-noted features lacking from each reference.

### **CONCLUSION**

All rejections having been addressed, Applicants respectfully submit that the instant application is in condition for allowance, and respectfully solicit prompt notification of the same. However, if for any reason the Examiner believes the application is not in condition for allowance or there are any questions, the Examiner is requested to contact the undersigned at (202) 824-3156.

By:

Respectfully submitted,

BANNER & WITCOFF, LTD.

Dated this 7th day of September, 2006

/Chunhsi Andy Mu/

Chunhsi Andy Mu, Registration No. 58,216

1001 G Street, N.W.

Washington, D.C. 20001-4597

Tel: (202) 824-3000 Fax: (202) 824-3001

# Case 6:20-cv-00490-ADA Document 66-13 Filed 04/09/21 Page 168 of 208

PTO/SB/22 (12-04) Approved for use through 7/31/2006. OMB 0651-0031

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PETITIO	N FOR	R EXTENSION OF TIME UNDER 37 FY 2005	Docket Number (Optional)				
	(fees	effective on or after December 8,	, 2004)	004770.00538			
Applicatio	_	ber 09/941,851	Filed August 30, 2001				
For M	For Message Transfer From A Source Device Via A Mobile Terminal Device To A Third Device						
Art Unit	2614			Examiner Gerald	GAUTHIER		
application.		nder the provisions of 37 CFR 1.136(a) to ext					
The reques	The requested extension and fee are as follows (check time period desired and enter the appropriate fee below):						
	⋈	One month (37 CFR 1.17(a)(1))	<u>Fee</u> \$120	Small Entity Fee \$60	\$ <u>120</u>		
		Two months (37 CFR 1.17(a)(2))	\$450	\$225	\$		
		Three months (37 CFR 1.17(a)(3))	\$1020	\$510	\$		
		Four months (37 CFR 1.17(a)(4))	\$1590	\$795	\$		
		Five months (37 CFR 1.17(a)(5))	\$2160	\$1080	\$		
this fo	A che Paymo The D The D overponing: In	eant claims small entity status. See 37 Content of the fee is enclosed. ent by credit card. Form PTO-2038 is a Director has already been authorized to charge a payment, to Deposit Account Number 15 Information on this form may become publications.	ttached. charge fees in this a any fees which may 9-0733. I have e ic. Credit card infor	be required, or creditenciosed a duplicate of mation should not be i	t any copy of this sheet.		
I am the	<b>;</b>	applicant/inventor.					
		assignee of record of the entire int	erest. See 37 CFR	₹ 3.71			
		Statement under 37 CFR 3.73(I		•			
		☑ attorney or agent of record. Regis		<u>216</u>			
		☐ attorney or agent under 37 CFR 1.  Registration number if acting under 37 0					
	/Chu	ınhsi Andy Mu/		September 7,	2006		
-		Signature	· ·	Date			
	Chur	nhsi Andy Mu		(202) 824-315	<sub>'</sub> 6		
more than or	ne signatu	Typed or printed name all the inventors or assignees of record of the entire ure is required, see below. as are submitted.	interest or their represe	Telephone Number entative(s) are required. Sul			

This collection of information is required by 37 CFR 1.136(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Electronic Patent A	/bk	olication Fe	e Transı	mittal					
Application Number:	09941851								
Filing Date:	30-Aug-2001								
Title of Invention:	Me thi	essage transfer fro rd device	m a source d	evice via a mobile	terminal device to a				
First Named Inventor:	Та	pani Larikka							
Filer:	Chunhsi Andy Mu/JANNA ASHTON								
Attorney Docket Number:	017.40169X00								
Filed as Large Entity									
Utility Filing Fees									
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)				
Basic Filing:									
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Case 6:20-cv-00490-ADA Document	Fee Code	04/09/21 Quantity	Page 170 of Amount	208 Sub-Total in USD(\$)
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Case 6:20-cv-00490-ADA Docur	Case 6:20-cv-00490-ADA Document 66-13 Filed 04/09/21 Page 171 of 208					
Electronic Ac	knowledgement Receipt					
EFS ID:	1189108					
Application Number:	09941851					
Confirmation Number:	7553					
Title of Invention:	Message transfer from a source device via a mobile terminal device to a third device					
First Named Inventor:	Tapani Larikka					
Customer Number:	22907					
Filer:	Chunhsi Andy Mu/JANNA ASHTON					
Filer Authorized By:	Chunhsi Andy Mu					
Attorney Docket Number:	017.40169X00					
Receipt Date:	07-SEP-2006					
Filing Date:	30-AUG-2001					
Time Stamp:	10:38:19					
Application Type:	Utility					
International Application Number:						
<u> </u>						

# Payment information:

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Payment was successfully received in RAM	\$120
RAM confirmation Number	789
Deposit Account	190733

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows: Charge any Additional Fees required under 37 C.F.R. Section 1.16 and 1.17

# File Listing:

Document Number	Case 6:20-cv-00490-ADA Do  Document Description	cument 66-13 Filed 04 File Name	<del>/09/21 Page 172</del> File Size(Bytes)	Multi Part	Pages		
1	Amendment - After Non-Final Rejection	00477000538amendreqreco nsideration.pdf	147652	no	11		
Warnings:							
Information	:						
2	Extension of Time	00477000538Petitionforexte nsionoftime.pdf	87916	no	1		
Warnings:							
Information:							
3	Fee Worksheet (PTO-875)	fee-info.pdf	8173	no	2		
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If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

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# **EAST Search History**

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L1	0	format\$3 with data with synchron\$7 with (SMS Short adj Message adj Service) with intermediate with device with transmit\$4 with second with device with (cellular adj network).clm.	US-PGPUB	OR	ON	2006/11/10 06:54

11/10/2006 6:59:51 AM C:\Documents and Settings\ggauthier\My Documents\EAST\workspaces\09941851.wsp Page 1



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## NOTICE OF ALLOWANCE AND FEE(S) DUE

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GAUTHIER, GERALD

ART UNIT PAPER NUMBER

2614

DATE MAILED: 12/05/2006

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/941.851	08/30/2001	Tapani Larikka	017.40169X00	7553

TITLE OF INVENTION: MESSAGE TRANSFER FROM A SOURCE DEVICE VIA A MOBILE TERMINAL DEVICE TO A THIRD DEVICE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonnrovisional	NO	\$1400	\$0	\$0	\$1400	03/05/2007

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

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# Case 6:20-cv-00490-ApArTpocume(s) ին հիշտրի բան 04/09/21 Page 176 of 208

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INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where

appropriate. All further indicated unless correcte maintenance fee notifica	correspondence includired below or directed others	ng the Patent, advance or nerwise in Block 1, by (a	ders and notification of n i) specifying a new corres	naintenance fees will be pondence address; and/	e mailed to the current of or (b) indicating a separ	correspondence address as rate "FEE ADDRESS" for
CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)			Fee(	c) Transmittal This cert	ificate cannot be used for er, such as an assignmen	domestic mailings of the or any other accompanying t or formal drawing, must
22907	7590 12/05	/2006	navo		te of Mailing or Transn	niccian
BANNER & W 1001 G STREET SUITE 1100			I he Stat addi tran:	reby certify that this Feres Postal Service with stressed to the Mail Storesmitted to the USPTO (\$2.50)	e(s) Transmittal is being afficient postage for first ISSUE FEE address (71) 273-2885, on the da	deposited with the United class mail in an envelope above, or being facsimile te indicated below.
WASHINGTON	I, DC 20001					(Depositor's name)
	•					(Signature)
						(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR	ATT	ORNEY DOCKET NO.	CONFIRMATION NO.
09/941,851	08/30/2001		Tapani Larikka		017.40169X00	7553
TITLE OF INVENTION	: MESSAGE TRANSFE	ER FROM A SOURCE DI	EVICE VIA A MOBILE T	ERMINAL DEVICE TO	O A THIRÐ DEVICE	
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$0	\$0	\$1400	03/05/2007
EXAM	IINER .	ART UNIT	CLASS-SUBCLASS			
GAUTHIEF		2614	455-466000			
1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).  Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.  2. For printing on the patent front page, list  (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is 3 listed, no name will be printed.						
DI EASE NOTE: Un	less an assignee is ident th in 37 CFR 3.11. Com	ified below no assignee	THE PATENT (print or ty) data will appear on the p T a substitute for filing an (B) RESIDENCE: (CITY	atent. If an assignee is assignment.		ocument has been filed for
Please check the appropr	riate assignee category of	r categories (will not be pr	rinted on the patent):	Individual Corpor	ation or other private gro	up entity Government
4a. The following fee(s) are submitted:    Issue Fee					ficiency, or credit any	
5. Change in Entity Status (from status indicated above)  a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.  b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).						
NOTE: The Issue Fee ar	nd Publication Fee (if rec		d from anyone other than			e assignee or other party in
Authorized Signature Date						
Typed or printed name Registration No						
an application. Confider submitting the complete this form and/or suggest Box 1450, Alexandria, V Alexandria, Virginia 22.	itiality is governed by 33 a application form to the ions for reducing this buyinginia 22313-1450. Do 313-1450.	6 U.S.C. 122 and 37 CFR e USPTO. Time will vary irden, should be sent to the O NOT SEND FEES OR	on is required to obtain or 1.14. This collection is es to depending upon the indite Chief Information Offic COMPLETED FORMS Tespond to a collection of in	timated to take 12 minu vidual case. Any comme er, U.S. Patent and Trad O THIS ADDRESS. SE	tes to complete, including the sents on the amount of tire emark Office, U.S. Depart ND TO: Commissioner	by the USPTO to process) g gathering, preparing, and ne you require to complete artment of Commerce, P.O. for Patents, P.O. Box 1450, number.

#### Case 6:20-cv-00490-ADA Document 66-13 Filed 04/09/21 Page 177 of 208



### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.		
09/941,851	08/30/2001	Tapani Larikka	017.40169X00 7553			
22907	7590 12/05/2006		EXAM	INER		
BANNER & WITCOFF			GAUTHIER, GERALD			
1001 G STREET	NW		ART UNIT	PAPER NUMBER		
SUITE 1100 WASHINGTON	, DC 20001		2614 DATE MAILED: 12/05/200	6		

## Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 668 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 668 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

	Application No.	Applicant(s)				
	Application No.	Applicant(a)				
Notice of Allowability	09/941,851	LARIKKA ET AL.				
Notice of Anowabinty	Examiner	Art Unit				
	Gerald Gauthier	2614				
The MAILING DATE of this communication appe All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in this ap or other appropriate communicatio GHTS. This application is subject	oplication. If not included n will be mailed in due course. <b>THIS</b>				
1. $\boxtimes$ This communication is responsive to <u>9/7/2006 Amendment</u>	· •					
2. The allowed claim(s) is/are <u>1-33,35-38 and 40-47</u> .						
<ol> <li>Acknowledgment is made of a claim for foreign priority una a)</li></ol>	been received. been received in Application No					
Applicant has THREE MONTHS FROM THE "MAILING DATE" of noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		complying with the requirements				
4. A SUBSTITUTE OATH OR DECLARATION must be submit INFORMAL PATENT APPLICATION (PTO-152) which give						
5. CORRECTED DRAWINGS (as "replacement sheets") mus	t be submitted.					
(a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review ( PTO-948) attached						
1) ☐ hereto or 2) ☐ to Paper No./Mail Date						
(b) including changes required by the attached Examiner's Paper No./Mail Date	s Amendment / Comment or in the	Office action of				
Identifying indicia such as the application number (see 37 CFR 1. each sheet. Replacement sheet(s) should be labeled as such in the						
6. DEPOSIT OF and/or INFORMATION about the deposit attached Examiner's comment regarding REQUIREMENT I						
Attachment(s) 1. ☐ Notice of References Cited (PTO-892)	5. Notice of Informal I	Patent Application				
2. Notice of Draftperson's Patent Drawing Review (PTO-948)	6. ☐ Interview Summary	• •				
Information Disclosure Statements (PTO/SB/08),	Paper No./Mail Da 7.  Examiner's Amend	nte				
Paper No./Mail Date  4.  Examiner's Comment Regarding Requirement for Deposit	8. ⊠ Examiner's Statem	ent of Reasons for Allowance				
of Biological Material	9.	Checald Gawrof GERALD GAUTHIER PRIMARY EXAMINER ART UNIT 2614				

Application/Control Number: 09/941,851

Art Unit: 2614

**DETAILED ACTION** 

Allowable

1. Claim(s) 1-33, 35-38 and 40-47 are allowed.

2. The following is an examiner's statement of reasons for allowance:

Regarding claim(s) 1, 13, 21, and 38, in combination with other limitations of the claims, the prior art of record fails to disclose or specifically suggested formatting a data to be synchronized into at least one SMS message in an intermediate terminal device and transmitting the at least one SMS message from the intermediate terminal device to a second remote located terminal device through cellular network connection.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Conclusion

3. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Gerald Gauthier whose telephone number is (571) 272-7539. The examiner can normally be reached on 8:00 AM to 4:30 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Fan Tsang can be reached on (571) 272-7547. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Application/Control Number: 09/941,851

Art Unit: 2614

Page 3

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Gerald Gauthier
Primary Examiner
Art Unit 2614

GG

November 10, 2006

# Index of Claims 09941851 Examiner Gauthier, Gerald Applicant(s)/Patent Under Reexamination LARIKKA ET AL. Art Unit 2614

<b>✓</b>	Rejected	-	Cancelled	N	Non-Elected	A	Appeal
=	Allowed	÷	Restricted	1	Interference	0	Objected

☐ Claims	renumbered	l in the same	order as pr	esented by	applicant		□ СРА	T.D.		R.1.47
CL	AIM			•		DATE				
Final	Original	12/03/2004	07/11/2005	12/18/2005	05/18/2006	11/10/2006				
1	1	✓	<b>√</b>	<b>√</b>	1	=			•	
2	2	<b>✓</b>	<b>✓</b>	0	<b>✓</b>	=				
3	3	<b>/</b>	<b>1</b>	1	1	=				
4	4	<b>√</b>	<b>✓</b>	<b>✓</b>	<b>~</b>	=				
5	5	<b>✓</b>	✓	✓	1	=				
6	6	<b>✓</b>	<b>✓</b>	✓	1	=				
7	7	1	<b>✓</b>	0	<b>1</b>	=				
8	8	<b>✓</b>	<b>✓</b>	0	<b>√</b>	=				
9	9	✓	✓	0	✓	=				
10	10	✓	✓	<b>✓</b>	✓	=				
11	11	<b>✓</b>	✓	✓	<b>✓</b>	=				
12	12	<b>✓</b>	<b>✓</b>	✓	<b>~</b>	=				
14	13	1	<b>✓</b>	✓	<b>*</b>	=				
15	14	<b>/</b>	<b>~</b>	0	<b>1</b>	=				
16	15	✓	✓	<b>\</b>	<b>~</b>	=				
17	16	<b>/</b>	<b>√</b>	0	✓	=				
18	17	✓	<b>✓</b>	0	✓	=				
19	18	✓	<b>✓</b>	0	✓	=				
20	19	<b>✓</b>	<b>~</b>	✓	<b>/</b>	=				
21	20	<b>✓</b>	<b>*</b>	<b>\</b>	<b>/</b>	=				
23	21	✓	<b>✓</b>	<b>\</b>	<b>✓</b>	=				
24	22	<b>*</b>	<b>~</b>	0	<b>✓</b>	=	•			
25	23	✓	<b>~</b>	<b>\</b>	✓	=				
26	24	✓	✓	<b>\</b>	<b>V</b>	=				
27	25	<b>/</b>	<b>*</b>	✓	<b>√</b>	=				
28	26	<b>✓</b>	<b>✓</b>	✓	7	=				
29	27	/	<b>✓</b>	0	<b>V</b>	=				
30	28	<b>/</b>	<b>✓</b>	0	<b>✓</b>	=				
31	29	<b>✓</b>	<b>√</b>	0	✓	=				
32	30	✓	✓	<b>1</b>	✓	=				
33	31	✓	<b>✓</b>	<b>~</b>	✓	=				
34	32	<b>✓</b>	✓	✓	✓	=				
36	33	<b>✓</b>	✓	<b>√</b>	1	=				
	34	✓	-		-	-				
37	35	✓	✓	✓	✓	=				
38	36	1	✓	<b>✓</b>	<b>√</b>	=				

Application/Control No.	Applicant(s)/Patent Under Reexamination
09941851	LARIKKA ET AL.
Examiner	Art Unit
Gauthier, Gerald	2614
	Examiner

<b>✓</b>	Rejected	-	Cancelled	N	Non-Elected	Α	Appeal
=	Allowed	÷	Restricted	I	Interference	0	Objected

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CL	AIM					DATE				
Final	Original	12/03/2004	07/11/2005	12/18/2005	05/18/2006	11/10/2006				
39	37	✓	<b>/</b>	<b>√</b>	<b>✓</b>	=				
41	38	<b>✓</b>	<b>/</b>	<b>✓</b>	<b>✓</b>	=				
	39	<b>✓</b>	-	-	-	-				
42	40	✓	1	1	1	=				
43	41	<b>√</b>	1	<b>√</b>	<b>✓</b>	=				
44	42	✓	<b>✓</b>	<b>✓</b>	<b>✓</b>	=				
13	43	<b>V</b>	<b>✓</b>	<b>√</b>	<b>✓</b>	=				
22	44	✓	<b>✓</b>	<b>√</b>	<b>✓</b>	=				
35	45	<b>✓</b>	<b>✓</b>	<b>V</b>	1	=				
40	46	<b>√</b>	<b>/</b>	<b>✓</b>	<b>✓</b>	=				
45	47	1	1	1	<b>√</b>	=				

U.S. Patent and Trademark Office Part of Paper No.: 20061110

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	09941851	LARIKKA ET AL.
	Examiner	Art Unit
	Gauthier, Gerald	2614

		ORIGINAL	_			INTE	INTERNATIONAL CLASSIFICATION	CLAS!	SIFICATION	7
	CLASS		SUBCLASS			CLAIMED			NON-C	NON-CLAIMED
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715	513									
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NONE			Cheuld Spublic			ÿ			Total Clair	Total Claims Allowed:
(Assistant Examiner)	niner) (Date)	(6	GERALD GAUTHIER PATENT EXAMINER							45
TRI		90/81/11	GERALD GAUTHIER		11/10	11/10/2006		0.G. F	O.G. Print Claim(s)	O.G. Print Figure
(Legal Instruments Examiner)		<b>(</b> e	(Primary Examiner)		(Date)	Œ.			<del>-</del>	<del>-</del>

U.S. Patent and Trademark Office

Part of Paper No. 20061110

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	0994185109941851	LARIKKA ET AL.LARIKKA ET AL.
	Examiner	Art Unit
1 (10.00) 10.00 10.	Gauthier, Gerald Gauthier, Gerald	26142614

	SEARCHED		
Class	Subclass	Date	Examiner
455	41, 414.1, 419, 445, 466, 558	11/10/2006	GG
705	51	11/10/2006	GG
707	10	11/10/2006	GG
715	513	11/10/2006	GG

SEARCH NOTES		
Search Notes	Date	Examiner
EAST (US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB)	11/10/2006	GG

	INTERFERENCE SEARCH		
Class	Subclass	Date	Examiner
455	41, 414.1, 419, 445, 466, 558	11/10/2006	GG
705	51	11/10/2006	GG
707	10	11/10/2006	GG
715	513	11/10/2006	GG
	INTERFERENCE HISTORY SEARCH (see attached)	11/10/2006	GG

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**Bib Data Sheet** 

**CONFIRMATION NO. 7553** 

<b>SERIAL NUMBER</b> 09/941,851	FILING OR 371(c)	C	<b>CLASS</b> 455	GRO	<b>UP AR</b> 2614	T UNIT	D	ATTORNEY OCKET NO. 17.40169X00
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<b>ADDRESS</b> 22907								
TITLE								
Message transfer fron	n a source device via a	mobile te	erminal device	to a thi	rd devid	ce		
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#### Case 6:20-cv-00490-ADART DOCKDINGS 1766ANS M Fire at 04/09/21 Page 186 of 208

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or Fax (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

indicated unless correcte maintenance fee notificat		ierwise in Block I, by (a	a) specifying a new co	rrespondence address	; and/or (t	o) indicating a sepa	irate "FEE ADDRESS" for
CURRENT CORRESPONDE	ENCE ADDRESS (Note: Use Bl	ock 1 for any change of address)	]	Note: A certificate of Fee(s) Transmittal. The papers. Each additionate its own certificate	mailing c is certifica al paper, si	an only be used for ate cannot be used for uch as an assignment	r domestic mailings of the or any other accompanying nt or formal drawing, must
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WASHINGTON	, DC 20001			•			(Depositor's name)
				-			(Signature)
							(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENT	OR	ATTORN	IEY DOCKET NO.	CONFIRMATION NO.
09/941,851	08/30/2001		Tapani Larikka		01′	7.40169X00	7553
TITLE OF INVENTION	: MESSAGE TRANSFE	R FROM A SOURCE D				THIRD DEVICE	·
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE D	JE PREV. PAID ISSU	E FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$0	\$0		\$1400	03/05/2007
EXAM	INER	ART UNIT	CLASS-SUBCLASS				
GAUTHIER	, GERALD	2614	455-466000				
<ol> <li>Change of corresponde CFR 1.363).</li> </ol>	ence address or indicatio	n of "Fee Address" (37		he patent front page, li		Banner 8	& Witcoff, LTD.
	ondence address (or Cha 3/122) attached.	nge of Correspondence	or agents OR, alten	•			
"Fee Address" ind PTO/SB/47; Rev 03-0 Number is required.	ication (or "Fee Address 2 or more recent) attach	" Indication form led. Use of a Customer	registered attorney 2 registered patent listed, no name will	ingle firm (having as a or agent) and the nam attorneys or agents. If be printed.	nes of up t no name i	o is 3	
		A TO BE PRINTED ON	•				
PLEASE NOTE: Unl	ess an assignee is ident h in 37 CFR 3.11. Com	ified below, no assignee pletion of this form is NO	data will appear on th	e patent. If an assign	nee is iden	tified below, the de	ocument has been filed for
(A) NAME OF ASSIG			(B) RESIDENCE: (CITY and STATE OR COUNTRY)				
Nokia Corpo	ration		Espoo, Finlar	nd			
Please check the appropr	iate assignee category or	categories (will not be pr	rinted on the patent):	☐ Individual 🖾 C	orporation	or other private gro	oup entity Government
4a. The following fee(s)	are submitted:	41	b. Payment of Fee(s): (I		ny previo	usly paid issue fee	shown above)
	lo small entity discount p	permitted)	_	card. Form PTO-203	8 is attach	ed.	
	# of Copies		The Director is her		rge the rec	uired fee(s), any de	ficiency, or credit any n extra copy of this form).
5. Change in Entity Sta	tus (from status indicate s SMALL ENTITY state	,	☐ b. Applicant is no	longer claiming SMA	LL ENTI	ΓY status. Sec 37 Cl	FR 1.27(g)(2).
NOTE: The Issue Fee an interest as shown by the	d Publication Fee (if req records of the United Sta	uired) will not be accepte ites Patent and Trademark	d from anyone other the Office.	an the applicant; a reg	istered atte	orney or agent; or th	ne assignee or other party in
Authorized Signature	/Chunhsi Andy M	<b>Л</b> u/		Date3/5	/2007		
Typed or printed name Chunhsi Andy Mu Registration No. 58,216							
This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) in application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and ubmitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete his form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Indee the Paragraphy Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.							

Electronic Patent Application Fee Transmittal						
Application Number:	09	941851				
Filing Date:	30	-Aug-2001				
Title of Invention:	MESSAGE TRANSFER FROM A SOURCE DEVICE VIA A MOBILE TERMINAL DEVICE TO A THIRD DEVICE					
First Named Inventor/Applicant Name:	Tapani Larikka					
Filer: Chunhsi Andy Mu/Allison Anderson						
Attorney Docket Number: 017.40169X00						
Filed as Large Entity						
Utility Filing Fees						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						
Utility Appl issue fee		1501	1	1400	1400	
Extension-of-Time:						

Case 6:20-cv-00490-ADA Document	Fee Code	04/09/21 Quantity	Page 188 of Amount	208 Sub-Total in USD(\$)
Miscellaneous:				
	Tota	al in USE	(\$)	1400

Case 6:20-cv-00490-ADA Document 66-13 Filed 04/09/21 Page 189 of 208				
Electronic Aci	knowledgement Receipt			
EFS ID:	1563785			
Application Number:	09941851			
International Application Number:				
Confirmation Number:	7553			
Title of Invention:	MESSAGE TRANSFER FROM A SOURCE DEVICE VIA A MOBILE TERMINAL DEVICE TO A THIRD DEVICE			
First Named Inventor/Applicant Name:	Tapani Larikka			
Customer Number:	22907			
Filer:	Chunhsi Andy Mu/Allison Anderson			
Filer Authorized By:	Chunhsi Andy Mu			
Attorney Docket Number:	017.40169X00			
Receipt Date:	05-MAR-2007			
Filing Date:	30-AUG-2001			
Time Stamp:	14:16:22			
Application Type:	Utility			

#### Payment information:

Submitted with Payment	yes
Payment was successfully received in RAM	\$1400
RAM confirmation Number	1897
Deposit Account	190733

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows: Charge any Additional Fees required under 37 C.F.R. Section 1.16 and 1.17

#### File Listing:

Document Number	Case 6:20-cv-00490-ADA Do  Document Description	cument 66-13 Filed 04 File Name	<del>(09/21 Page 190</del> File Size(Bytes)	Multi Part /.zip	Pages (if appl.)		
1	Issue Fee Payment (PTO-85B)	004770-00538-IssueFee.pdf	347633	no	1		
Warnings:							
Information							
2	Fee Worksheet (PTO-06)	fee-info.pdf	8190	no	2		
Warnings:							
Information	:						
	Total Files Size (in bytes): 355823						

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

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Complete Traces	Case 6.20-	<b>(40)</b> \	P.C	il Stop ISSUE FE mmissioner for Pa D. Box 1450 exandria, Virginia	E atemts	je 191 01 208
INSTRUCTIONS: This fo appropriate. All further coindicated unless corrected maintenance fee notification	pelom at directed offic	Tree The the ISSU Indianate of the Issueric or rwise in Block I, by (a	E FEE and PUBLICATI ders and notification of n a) specifying a new corres	ON FEE (if required) naintenance fees will be pondence address; and	vor (b) indicating a sep	should be completed where correspondence address as arate "FEE ADDRESS" for
CURRENT CORRESPONDEN			Fee(	s) Transmittal. This ce - Fach additional par	rtificate cannot be used	or domestic mailings of the for any other accompanying ant or formal drawing, must
22907 75 BANNER & WI 1001 G STREET 1 SUITE 1100	<b>TCOFF</b>	OIPE	I he State addition	Certifice reby certify that this Force Postal Service with seessed to the Mail Stosmitted to the USPTO (	nte of Mailing or Trans e(s) Transmittal is bein sufficient postage for fir p ISSUE FEE address 571) 273-2885, on the	smission g deposited with the United st class mail in an envelope above, or being facsimile late indicated below.
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		\ <del>2</del>	. <i>\varepsilon</i>		·- ·-	(Signature)
		PRATE TRADE	MARKE			(Date)
APPLICATION NO.	FILING DATE	THAC	FIRST NAMED INVENTOR	AT	TORNEY DOCKET NO.	CONFIRMATION NO.
09/941,851	08/30/2001		Tapani Larikka		017.40169X00	7553
FITLE OF INVENTION: A	IESSAGE TRANSFEI	R FROM A SOURCE DI	EVICE VIA A MOBILE T	ERMINAL DEVICE T	O A THIRD DEVICE	
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FE	E TOTAL FEE(S) DUI	DATE DUE
nonprovisional	NO	\$1400	\$0	\$0	\$1400	03/05/2007
EXAMIN	er	ART UNIT	CLASS-SUBCLASS	]		
GAUTHIER, C	GERALD	2614	455-466000			
I. Change of correspondent CFR 1.363).  Change of correspon Address form PTO/SB/I  "Fee Address" indice PTO/SB/47; Rev 03-02 Number is required.	dence address (or Char 22) attached.	ge of Correspondence	2. For printing on the p (1) the names of up to or agents OR, alternati (2) the name of a singl registered attorney or 2 registered patent atto listed, no name will be	o 3 registered patent att vely, le firm (having as a me agent) and the names o	orneys 1 mber a 2 f up to	& Witcoff, LTD.
3. ASSIGNEE NAME AND PLEASE NOTE: Unles recordation as set forth i  (A) NAME OF ASSIGN	s an assignee is identi n 37 CFR 3.11. Comp			atent. If an assignee is assignment.		document has been filed for
Nokia Corpora	tion		Espoo, Finland			
Please check the appropriate	e assignee category or		<u> </u>			roup entity Government
4a. The following fee(s) are	submitted:	41	<ul> <li>b. Payment of Fee(s): (Ples</li> <li>A check is enclosed.</li> </ul>	ase first reapply any p	reviously paid issue fe	shown above)
Delication Fee (No Advance Order - # 6		ermitted)	Payment by credit car The Director is hereby overpayment, to Depo			leficiency, or credit any an extra copy of this form).
5. Change in Entity Statu  a. Applicant claims	SMALL ENTITY statu	s. See 37 CFR 1.27.	b. Applicant is no lon			
NOTE: The Issue Fee and interest as shown by the re-	Publication Fee (if requereds of the United State	ired) will not be accepte es Patent and Trademark	d from anyone other than to Coffice.	the applicant; a register	ed attorney or agent; or	the assignee or other party in
Authorized Signature /Chunhsi Andy Mu/ Date 3/5/2007					07	
Typed or printed name Chunhsi Andy Mu Registration No. 58,216						
Typed or printed name  Chunhsi Andy Mu  Registration No. 58,210  This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.  Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.						
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PTOL-85 (Rev. 07/06) Ap	proved for use through	04/30/2007.	OMB 0651-0033	U.S. Patent and Tradem	nark Office; U.S. DEPA 03/05/2007 INTE	RTMENT OF COMMERCE FSU 20001297 09941851

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#### Case 6:20-cv-00490-ADA Document 66-13 Filed 04/09/21 Page 192 of 208



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450

Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	ISSUE DATE	PATENT NO.	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/941,851	04/10/2007	7203505	017.40169X00	7553

017.40169X00

22907

7590

03/21/2007

BANNER & WITCOFF, LTD. 1100 13th STREET, N.W. **SUITE 1200** WASHINGTON, DC 20005-4051

#### ISSUE NOTIFICATION

The projected patent number and issue date are specified above.

#### **Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)**

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 843 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

Tapani Larikka, Vesilahti, FINLAND; Jari Juopperi, Helsinki, FINLAND;

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	PATENT
TO LINEED OF A TEC DATENT AND TO A DEMARK OFFICE	

	IN THE UNITED STATES	ALENI AND IKADEMAKK C	TTICE
In re U.S.	Patent No. 7,203,505	) Serial No. 09/9	41,851
Inventor(	s): Tapani Larikka et al.	) Filed: August 3	0, 2001
Issue Dat	e: April 10, 2007	) Attorney Dock	et No. 004770.00538

For: MESSAGE TRANSFER FROM A SOURCE DEVICE VIA A MOBILE TERMINAL DEVICE

TO A THIRD DEVICE

#### REQUEST FOR CERTIFICATE OF CORRECTION

U.S. Patent and Trademark Office Customer Service Window Randolph Building, Mail Stop: Certificate of Correction Branch 401 Dulany Street Alexandria, VA 22314

Certificate

AUG 1 5 2007

of Correction

Sir:

Pursuant to 35 U.S.C. § 254 and 37 C.F.R. § 1.322, this is a request for the issuance of a Certificate of Correction in the above-identified patent. Two (2) copies of PTO Form 1050 are appended. The complete Certificate of Correction involves 1 page.

The mistake identified in the appended Form occurred through no fault of the Applicants, as clearly disclosed by the records of the application, which matured into this patent. Enclosed for your convenience are the relevant parts of the Amendment filed on September 7, 2006.

Issuance of the Certificate of Correction containing the correction is respectfully requested. Since this change is necessitated through no fault of the Applicants, no fee is believed to be associated with this request. Nonetheless, should the Patent and Trademark Office determine that a fee is required, please charge our Deposit Account No. 19-0733.

Respectfully submitted,

BANNER & WITCOFF, LTD.

Dated: <u>August 13, 2007</u> Banner & Witcoff, Ltd 1100 13<sup>th</sup> Street, N.W., Suite 1200 Washington, D.C. 20005-4051 (202) 824-3000

C. Andy Mu Registration No. 58,216

AUG 15 2007

## UNITED STATES PATENT AND TRADEMARK OFFICE **CERTIFICATE OF CORRECTION**

PATENT NO.:

7,203,505

DATED:

April 10, 2007

INVENTOR(S):

Tapani Larikka et al.

It is certified that an error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In Claim 40, Column 7, Line 19:

Please delete "Claim 31" and insert -- Claim 36--

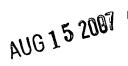
Mailing Address of Sender:

Banner & Witcoff, Ltd. 11th Floor 1001 G Street, N.W. Washington, DC 20001-4597

FORM PTO 1050 (Rev.2-93)

U.S. PAT. NO 7,203,505

No. of add'l copies @ \$0.50 per page



## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO.:

7,203,505

DATED:

April 10, 2007

INVENTOR(S):

Tapani Larikka et al.

It is certified that an error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In Claim 40, Column 7, Line 19:

Please delete "Claim 31" and insert -- Claim 36--

Mailing Address of Sender:

Banner & Witcoff, Ltd. 11th Floor 1001 G Street, N.W. Washington, DC 20001-4597 U.S. PAT. NO 7,203,505

No. of add'l copies @ \$0.50 per page

FORM PTO 1050 (Rev.2-93)

AUG 15 2007

-	Case 6	6:20-cv-00490-ADA	Document 66-13	Filed 04/09/21	Page 196 of 208
	Wile 13 July A	Electron	ic Acknowledge	ement Receip	t

KINO E	
EFS ID:	1189108
Application Number:	09941851
Confirmation Number:	7553
Title of Invention:	Message transfer from a source device via a mobile terminal device to a third device
First Named Inventor:	Tapani Larikka
Customer Number:	22907
Filer:	Chunhsi Andy Mu/JANNA ASHTON
Filer Authorized By:	Chunhsi Andy Mu
Attorney Docket Number:	017.40169X00
Receipt Date:	07-SEP-2006
Filing Date:	30-AUG-2001
Time Stamp:	10:38:19
Application Type:	Utility
International Application Number:	

#### **Payment information:**

Submitted with Payment	yes	ANG 15 2007
Payment was successfully received in RAM	\$ 120	( 7
RAM confirmation Number	789	
Deposit Account	190733	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows: Charge any Additional Fees required under 37 C.F.R. Section 1.16 and 1.17

#### File Listing:

#### Case 6:20-cv-00490-ADA Document 66-13 Filed 04/09/21 Page 197 of 208

Document Number	Document Description	File Name	File Size(Bytes)	Multi Part	Pages
1	Rejection	00477000538amendreqreco nsideration.pdf	147652	no	11
Warnings:	13 2001 W				
Information					<del></del>
2	Extension of Time	00477000538Petitionforexte nsionoftime.pdf	87916	no	1
Warnings:					
Information	:				
3	Fee Worksheet (PTO-875)	fee-info.pdf	8173	no	2
Warnings:					
Information					
		Total Files Size (in bytes):	243	3741	

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#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

- 42. (Currently Amended) The device of elaim 39claim 41, wherein the short range communication link comprises one of either an IR (Infrared) or Bluetooth communication link.
- 43. (Original) The method of claim 1, further comprising transmitting at least one other SMS message from the second terminal device to the first terminal device via the intermediate terminal device.
- 44. (Original) The method of claim 13, further comprising a receiver to receive at least one other SMS message from the another terminal device and a data message transmitter to transmit the at least one other SMS message to the first terminal device.
- 45. (Original) The device of claim 21, further comprising transmitting at least one other SMS message from the second terminal device of the first terminal device via the intermediate terminal device.
- 46. (Original) The method of claim 33, further comprising transmitting other data from the second terminal device to the first terminal device via the intermediate terminal device.
- 47. (Original) The device of claim 38, further comprising transmitting other data from the second terminal device to the first terminal device via the intermediate terminal device.

## UNITED STATES PATENT AND TRADEMARK OFFICE **CERTIFICATE OF CORRECTION**

PATENT NO. : 7,203,505 B1 APPLICATION NO. : 09/941851 Page 1 of 1

DATED

: April 10, 2007

INVENTOR(S) : Tapani Larikka et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In Claim 40, Column 7, Line 19:

Please delete "Claim 31" and insert -- Claim 36--

Signed and Sealed this

Twenty-third Day of October, 2007

JON W. DUDAS
Director of the United States Patent and Trademark Office



#### UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Vrignia 22313-1450 www.uspto.gov

 APPLICATION NUMBER
 PATENT NUMBER
 GROUP ART UNIT
 FILE WRAPPER LOCATION

 09/941,851
 7203505
 2614
 9200

#### Correspondence Address/Fee Address Change

The following fields have been set to Customer Number 72165 on 11/17/2010

- Correspondence Address
- Power of Attorney Address

The address of record for Customer Number 72165 is:

72165
BANNER & WITCOFF, LTD
ATTORNEYS FOR CLIENT 004770
1100 13TH STREET
SUITE 1200
WASHINGTON, DC 20005-4051

PTO/AIA/80 (07-17)

Approved for use through 01/31/2018, QM8 0651-0035

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### POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO

hereby appoint:				
Practitioners associated with Custome  OR			51091	
Practitioner(s) named below (if more t	han ten patent pr Registration Number		d, then a customer	number must be used  Registration  Number
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The address associated with Customer  OR  Firm or individual name			ified in the att	ached statement
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The address associated with Customer  OR  Firm or individual name  Address  City  Country  Telephone  Il 150 Santa M Los Angeles, Copy of this form, together with a statemed in each application in which this form, and muctitioners appointed in this form, and muctitioners appointed in this form, and muctitioners appointed in this form, and muctitioners appointed in this form, and muctitioners appointed in this form, and muctitioners appointed in this form, and muctitioners appointed in this form, and muctitioners appointed in this form, and muctitioners appointed in this form, and muctitioners appointed in this form, and muctitioners appointed in this form, and much application in which the properties are application in which the properties are application in which the properties are application in which the properties are application in which the properties are application in which the properties are application in which application in which application in which app	nents, LLC ionica Blvd., Sui A 90025 ont under 37 CFs used. The statust Identify the a	State  Email  itc 140()  R 3.73(c) (Form PTO/AL, ement under 37 CFR 3.73 application in which thi	Zip  A/96 or equivale	nt) is required to be
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The address associated with Customer  OR  Firm or individual name  Address  City  Country  Telephone  Ignee name and address: WSOU Investor 1150 Santa M Los Angeles, C opy of this form, together with a statemed in each application in which this form is ctitioners appointed in this form, and mu	nents, LLC ionica Bivd., Sui A 90025 ent under 37 CFI s used. The stat- ist identify the a	State  State  Email  itc 1400  R 3.73(c) (Form PTO/Al, ement under 37 CFR 3.73 application in which this assignee of Record	Zip A/96 or equivale 73(c) may be con s Power of Attor	nt) is required to be apleted by one of th ney is to be filed.

This collection of information is required by 37 CFR 1.31, 1.32, and 1.33. The information is required to obtain or retain a benefit by the public, which is to update (and by the USPTO to process) the file of a patent or reexamination proceeding. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 18 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FRES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

#### Case 6:20-cv-00490-ADA Document 66-13 Filed 04/09/21 Page 202 of 208

PTO/AIA/96 (08-12)

Approved for use through 01/31/2013. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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STATEMENT UNDER 37 CFR 3.73(c)
Applicant/Patent Owner: WSOU Investments, LLC
Application No./Patent No.: 7,203,505 Filed/Issue Date: 04-10-2007
$ \hbox{\tt Titled:} \ \underline{MESSAGE} \ \underline{TRANSFER} \ FROM \ \underline{A} \ \underline{SOURCE} \ \underline{DEVICE} \ \underline{VIA} \ \underline{A} \ \underline{MOBILE} \ \underline{TERMINAL} \ \underline{DEVICE} \ \underline{TO} \ \underline{A} \ \underline{THIRD} \ \underline{DEVICE} $
WSOU Investments, LLC , a corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)
states that, for the patent application/patent identified above, it is (choose one of options 1, 2, 3 or 4 below):
1. $X$ The assignee of the entire right, title, and interest.
2. An assignee of less than the entire right, title, and interest (check applicable box):
The extent (by percentage) of its ownership interest is%. Additional Statement(s) by the owners holding the balance of the interest <u>must be submitted</u> to account for 100% of the ownership interest.
There are unspecified percentages of ownership. The other parties, including inventors, who together own the entire right, title and interest are:
Additional Statement(s) by the owner(s) holding the balance of the interest <u>must be submitted</u> to account for the entire right, title, and interest.
3. The assignee of an undivided interest in the entirety (a complete assignment from one of the joint inventors was made). The other parties, including inventors, who together own the entire right, title, and interest are:
Additional Statement(s) by the owner(s) holding the balance of the interest <u>must be submitted</u> to account for the entire right, title, and interest.
4. The recipient, via a court proceeding or the like (e.g., bankruptcy, probate), of an undivided interest in the entirety (a complete transfer of ownership interest was made). The certified document(s) showing the transfer is attached.
The interest identified in option 1, 2 or 3 above (not option 4) is evidenced by either (choose one of options A or B below):
A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.
B. X A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:
1. From: Inventors To: NOKIA CORP.
The document was recorded in the United States Patent and Trademark Office at
Reel $012130$ , Frame $0651$ , or for which a copy thereof is attached.
2. From: NOKIA CORPORATION To: NOKIA TECHNOLOGIES OY
The document was recorded in the United States Patent and Trademark Office at
Reel $\underline{035601}$ , Frame $\underline{0901}$ , or for which a copy thereof is attached.

[Page 1 of 2]

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**.

PTO/AIA/96 (08-12)
Approved for use through 01/31/2013. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

		STATEMEN	T UNDER 37 CFH	<u>3.73(c)</u>
3. From:	NOKIA TECH	INOLOGIES OY	To:WSOU	INVESTMENTS, LLC
	The docume	ent was recorded in the Un	nited States Patent and	Trademark Office at
	Reel <u>0450</u>	0282 <u>.</u> , Frame 0282	or for which a c	opy thereof is attached.
4. From:			To:	
	The docume	ent was recorded in the Un	nited States Patent and	Trademark Office at
	Reel	, Frame	, or for which a c	opy thereof is attached.
5. From:			To:	
		ent was recorded in the Un		
	Reel	, Frame	, or for which a c	opy thereof is attached.
6. From: _			To:	
		ent was recorded in the Un		
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				ocument(s)) must be submitted to Assignment in the records of the USPTO. See MPEP 302.08]
	14	s supplied below) is autho		of the assignee.
	Physica n	amused is the		March 13, 2018
Signature		,		Date
	Sean D. B	urdick		51,513
Printed or 7	Typed Name			Title or Registration Number

[Page 2 of 2]

	<del>nent 66-13 Filed 04/09/21 Page 204 of 208</del> knowledgement Receipt
EFS ID:	32040996
Application Number:	09941851
International Application Number:	
Confirmation Number:	7553
Title of Invention:	MESSAGE TRANSFER FROM A SOURCE DEVICE VIA A MOBILE TERMINAL DEVICE TO A THIRD DEVICE
First Named Inventor/Applicant Name:	Tapani Larikka
Customer Number:	72165
Filer:	Sean Dylan Burdick/Kris Pangan
Filer Authorized By:	Sean Dylan Burdick
Attorney Docket Number:	017.40169X00
Receipt Date:	13-MAR-2018
Filing Date:	30-AUG-2001
Time Stamp:	16:51:49
Application Type:	Utility under 35 USC 111(a)

#### **Payment information:**

Submitted with Payment	no
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#### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
			346864	no	
1	Power of Attorney	09941851_POA.pdf	0ce241db9250e5283fa11147e0a41827493 7e5bd		1
Warnings:					

Information	<del>Case 6:20-cv-00490-ADA - Do</del>	cument 66-13 Filed 04/	<del>/09/21 Page 205</del>	01 208	
			248951		
2	Assignee showing of ownership per 37 CFR 3.73	09941851_Statement.pdf	cf771042fa7e440ea51ea0d84ed76574cb07 df70	no	2
Warnings:		•			
Information					
		Total Files Size (in bytes):	59	95815	

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#### **New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.



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FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE APPLICATION NUMBER FILING OR 371(C) DATE 09/941,851 08/30/2001

Tapani Larikka

151091 WSOU Investments, LLC 11150 Santa Monica Blvd., Suite 1400

Los Angeles, CA 90025

**CONFIRMATION NO. 7553** POA ACCEPTANCE LETTER



Date Mailed: 03/26/2018

017.40169X00

#### NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 03/13/2018.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

> Questions about the contents of this notice and the requirements it sets forth should be directed to the Office of Data Management, Application Assistance Unit, at (571) 272-4000 or (571) 272-4200 or 1-888-786-0101.

/tmwilliams/		



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APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE

09/941,851 08/30/2001 Tapani Larikka

017.40169X00 **CONFIRMATION NO. 7553** 

72165
BANNER & WITCOFF, LTD
ATTORNEYS FOR CLIENT 004770
1100 13TH STREET
SUITE 1200
WASHINGTON, DC 20005-4051



Date Mailed: 03/26/2018

#### NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 03/13/2018.

• The Power of Attorney to you in this application has been revoked by the assignee who has intervened as provided by 37 CFR 3.71. Future correspondence will be mailed to the new address of record(37 CFR 1.33).

Questions about the contents of this notice and the requirements it sets forth should be directed to the Office of Data Management, Application Assistance Unit, at (571) 272-4000 or (571) 272-4200 or 1-888-786-0101.

/tmwilliams/	

AO 120 (Rev. 08/10)

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## REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

In Complianc filed in the U.S. Disti		15 U.S.C. § 1116 you are hereby advised that a court action has been  Western District of Texas on the following	
	Patents. (  the patent acti		
DOCKET NO. 6:20-cv-497	DATE FILED 6/3/2020	U.S. DISTRICT COURT Western District of Texas	
PLAINTIFF		DEFENDANT	
WSOU INVESTMENTS, LICENSING AND DEVE		ZTE CORPORATION, ZTE (USA) INC.; ZTE (TX), INC.	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK	
1 U.S. 7,203,505	4/10/2000	WSOU Investments, LLC	
2			
3			
4			
5			
	_	e following patent(s)/ trademark(s) have been included:	
DATE INCLUDED	DICTUDED DV		
DATE INCLUDED	INCLUDED BY	endment Answer Cross Bill Other Pleading	
PATENT OR TRADEMARK NO.		endment	
PATENT OR	DATE OF PATENT		
PATENT OR TRADEMARK NO.	DATE OF PATENT		
PATENT OR TRADEMARK NO.	DATE OF PATENT		
PATENT OR TRADEMARK NO.	DATE OF PATENT		
PATENT OR TRADEMARK NO.  1 2 3	DATE OF PATENT		
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PATENT OR TRADEMARK NO.  1 2 3 4 5 In the above	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK	
PATENT OR TRADEMARK NO.  1 2 3 4 5 In the above	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK	
PATENT OR TRADEMARK NO.  1 2 3 4 5	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK	
PATENT OR TRADEMARK NO.  1 2 3 4 5 In the above	DATE OF PATENT OR TRADEMARK  re—entitled case, the following of	HOLDER OF PATENT OR TRADEMARK	